

# Surface-Energy Balance Methods for Estimating ET: Current State-of-the- Art and Recent Developments



*Landsat "8" – launched Feb. 2013*

Rick Allen -- University of Idaho, Kimberly, Idaho  
Professor of Water Resources Engineering  
Member, USGS/NASA Landsat Science Team

Ayse Kilic – University of Nebraska, Lincoln  
Assoc. Professor of Civil Engineering / School of Natural Resources  
Member, USGS/NASA Landsat Science Team

Justin Huntington, Desert Research Institute, Reno, NV

Other Partners: Ricardo Trezza, UI; Jan Hendrickx, NMT; Babu Kamble, UNL; Jeppe Kjaersgaard, UMn

# Who Cares about ET?

- ◆ Departments of Water Resources
- ◆ US Bureau of Reclamation
- ◆ US Geological Survey
- ◆ Environment
- ◆ Irrigators
- ◆ Courts



# Applications in the West

**Water Planning**

**Aquifer Depletions**

Hydrologic Modeling

Endangered Species

Agricultural Water Use

**Legal Finding-of-Fact**

**Water Rights Buy-Back**

Water Rights Compliance

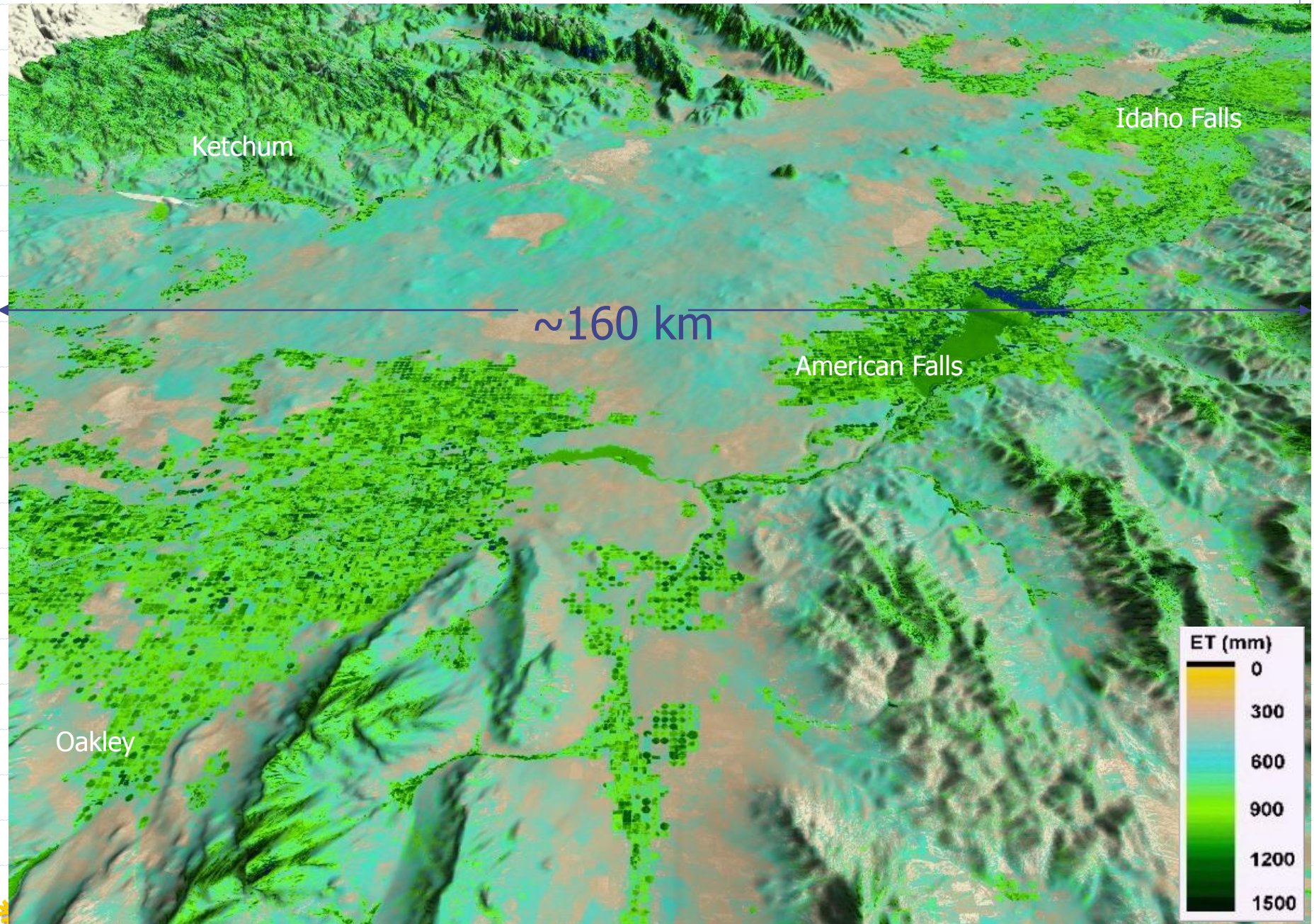
**In-Season Water Demand**

Tribal Water Rights Negotiations



# Does ET vary in Space? (Yes!) -- Monthly and Seasonal ET at 30 m resolution for the Eastern Snake Plain of Idaho

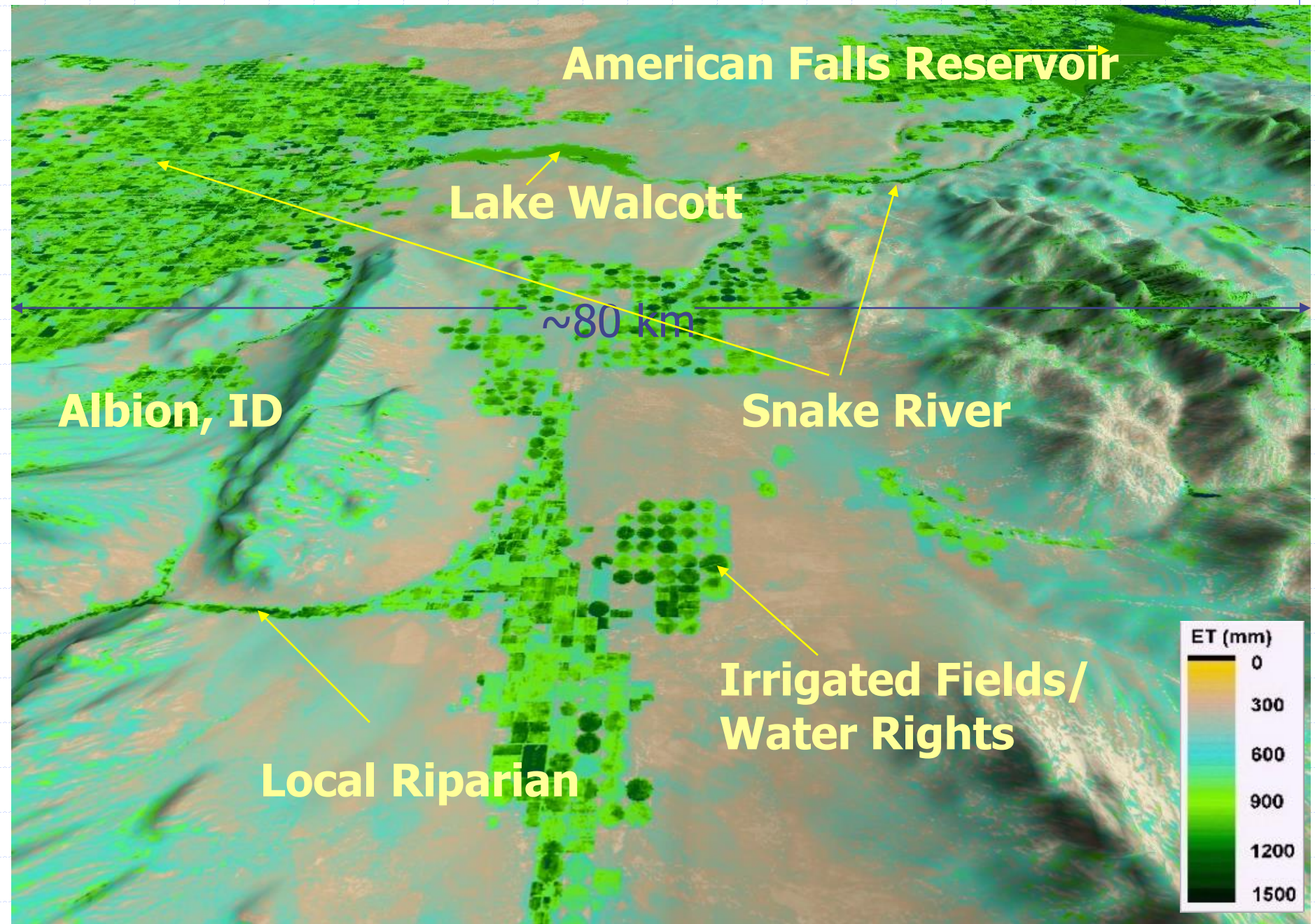
*April – October,  
2006 ET*





# ET features at 30 m resolution

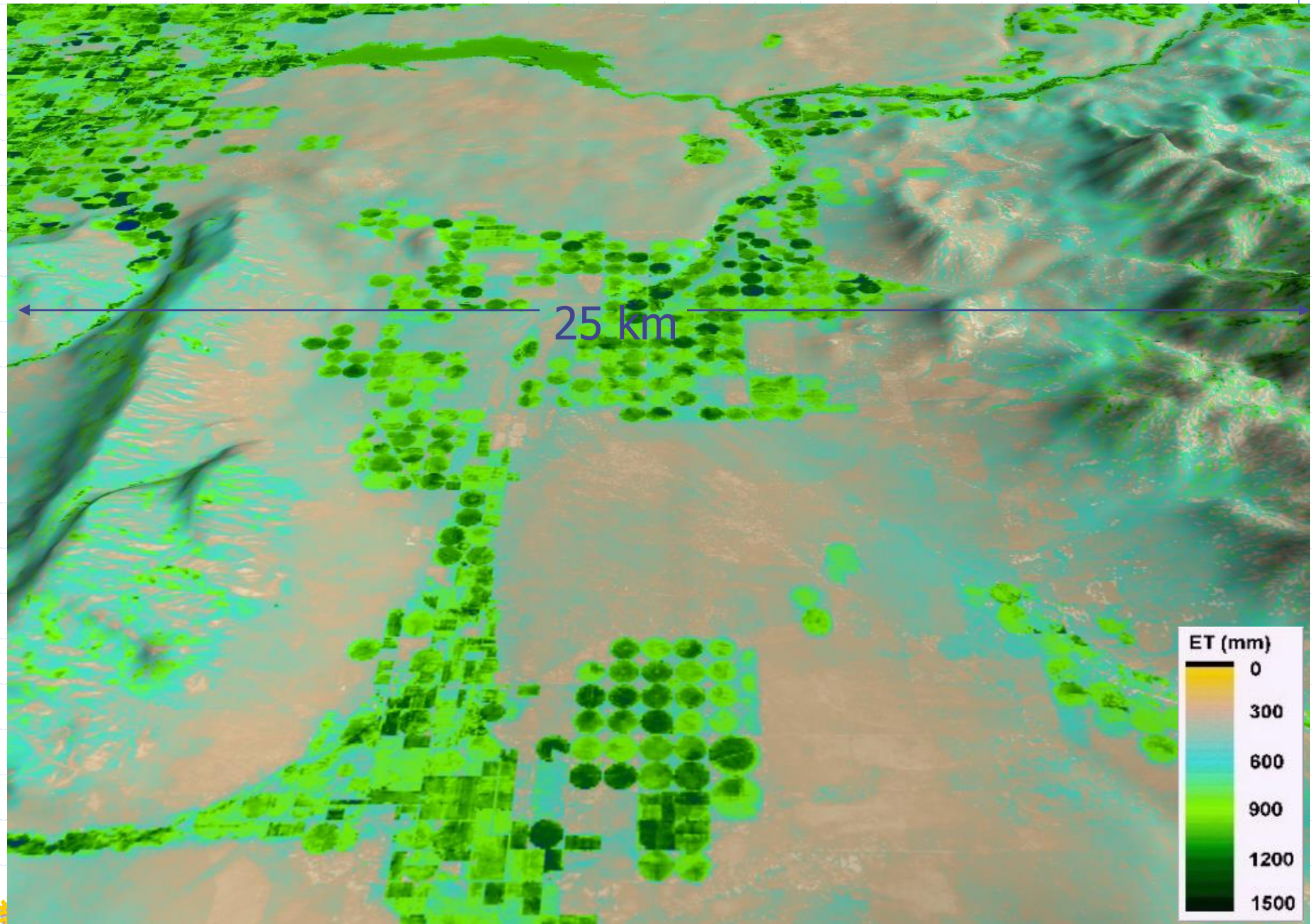
April – October, 2006 ET from  
METRIC-Landsat





# ET features at 30 m resolution

April – October, 2006 ET from  
METRIC-Landsat





# When Energy Balance Matters

## ◆ Energy Balance

- Remember: ET is the water that changes from liquid to water vapor
- Liquid to vapor conversion requires energy
- We 'look' for the energy used to produce the evaporation
- **EB components can be derived from the temperature of the surface**

# Why use an “Energy balance”?

- ◆ ET is calculated as a “residual” of the energy balance

$R_n$  (radiation from sun and sky)

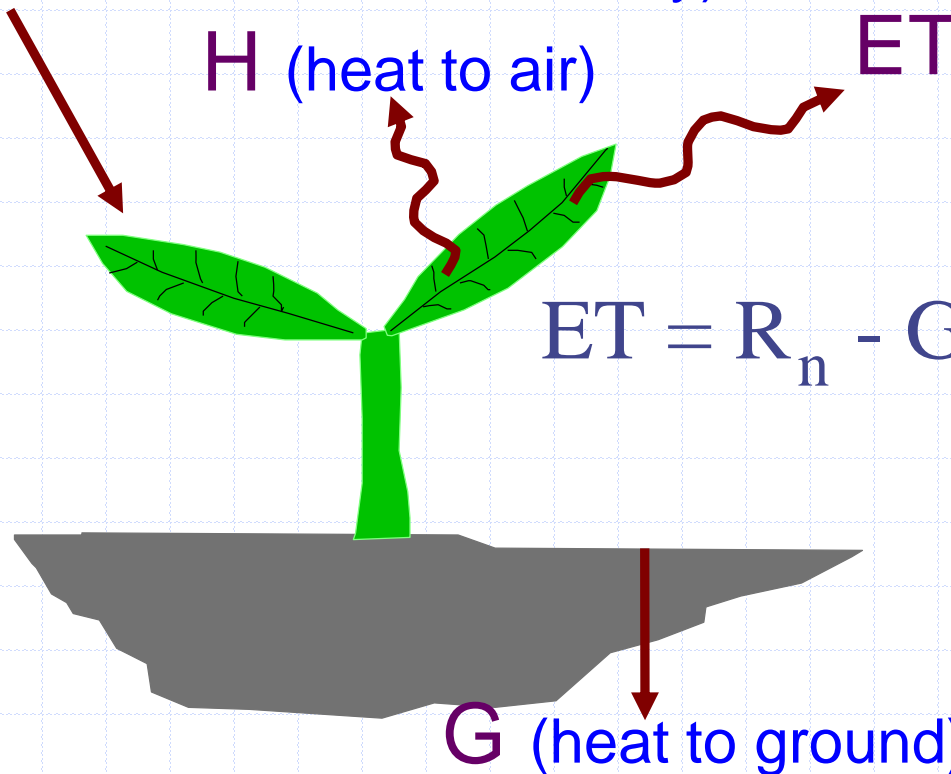
$H$  (heat to air)

$ET$

$$ET = R_n - G - H$$

**Basic Truth:**

Evaporation  
consumes  
Energy





# Energy balance gives us “actual” ET

Energy Balance can ‘see’ impacts on ET caused by:

- ◆ **water shortage**
- ◆ disease
- ◆ crop variety
- ◆ planting density
- ◆ cropping dates
- ◆ salinity
- ◆ management

◆ *(these effects can cause the ratio  $ET / \text{amount of vegetation}$  to vary widely, thus the need to compute ET as a residual of the energy balance)*



# Sensible Heat Flux (H)

## – METRIC model

Advantage:

*dT is inverse calibrated  
(simulated) (free of  $T_{rad}$  vs.  $T_{aero}$   
vs.  $T_{air}$ )*

Advantage:

*$r_{ah}$  'floats' above the  
surface and is 'free' of  $z_{oh}$   
and some limitations of a  
single source approach*

$$H = (\rho \times c_p \times dT) / r_{ah}$$

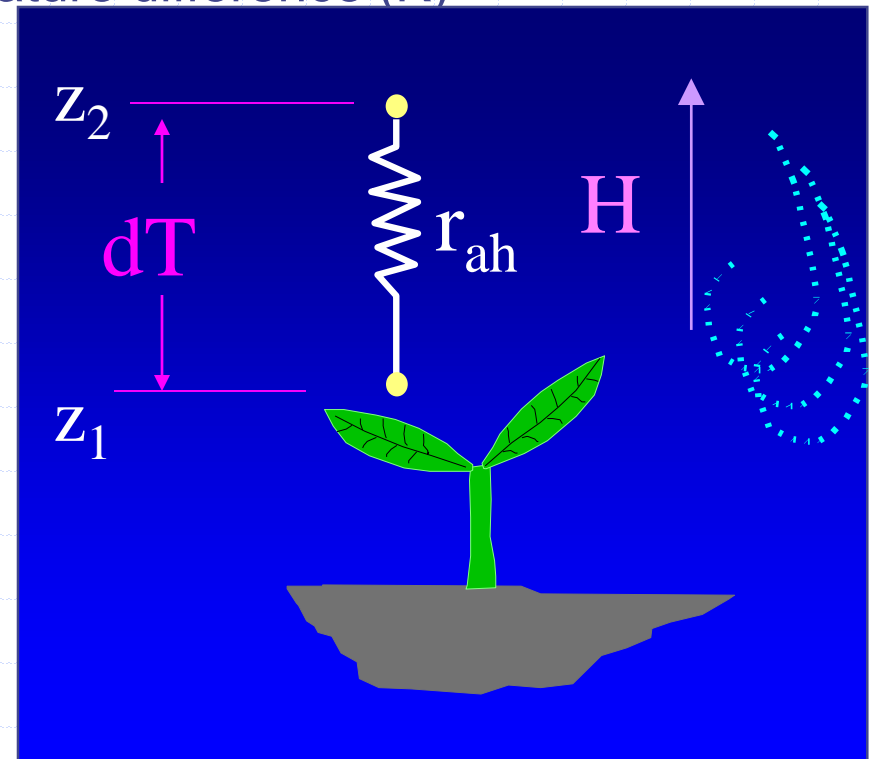
$dT$  = “floating” near surface temperature difference (K)

$r_{ah}$  = the aerodynamic resistance  
from  $z_1$  to  $z_2$

$$r_{ah} = \frac{\ln\left(\frac{z_2}{z_1}\right) - \Psi_{h(z_2)} + \Psi_{h(z_1)}}{u_* \times k}$$

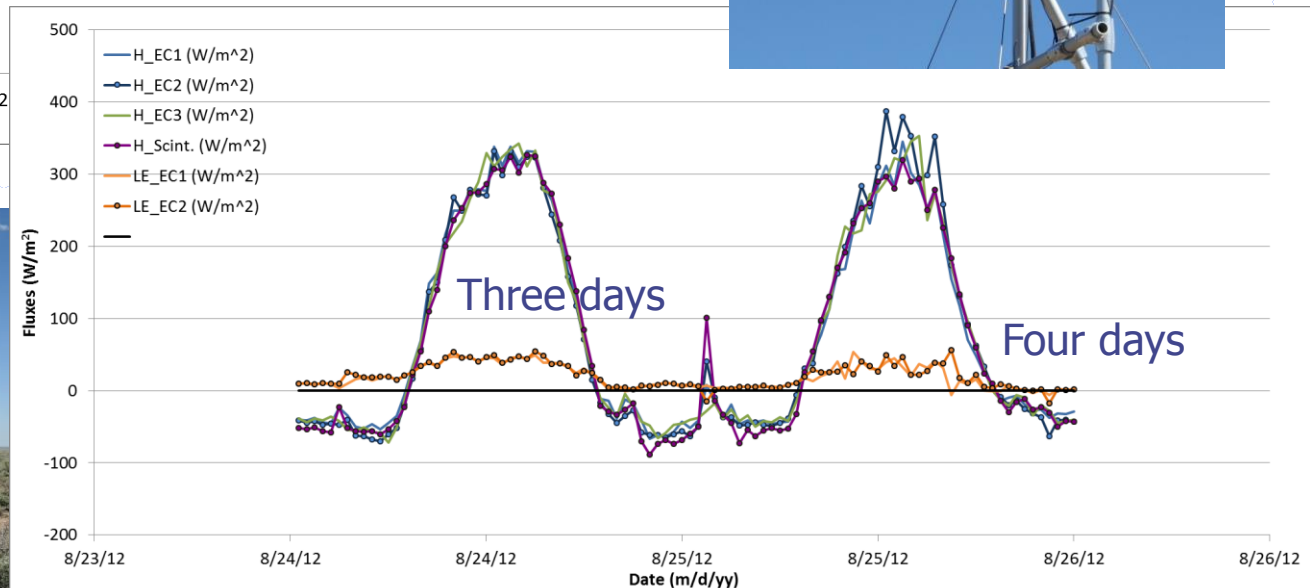
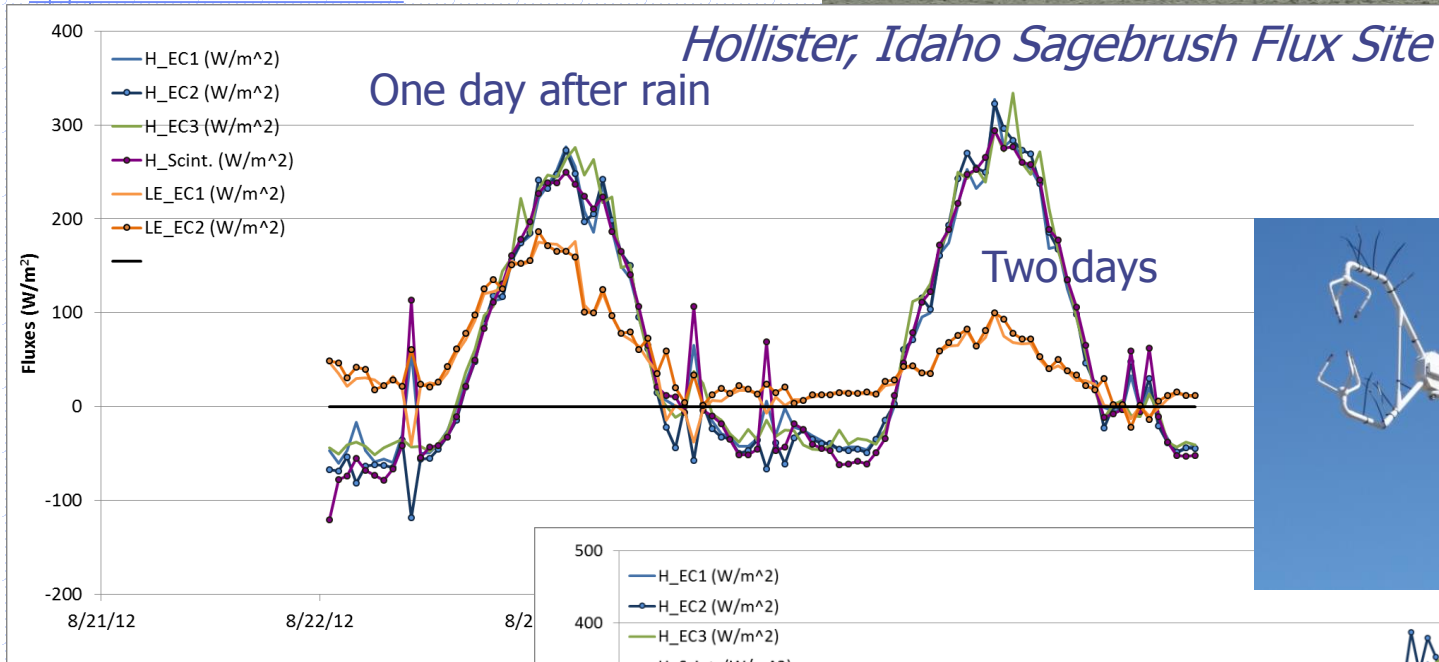
$u_*$  = friction velocity

$k$  = von karmon  
constant (0.41)

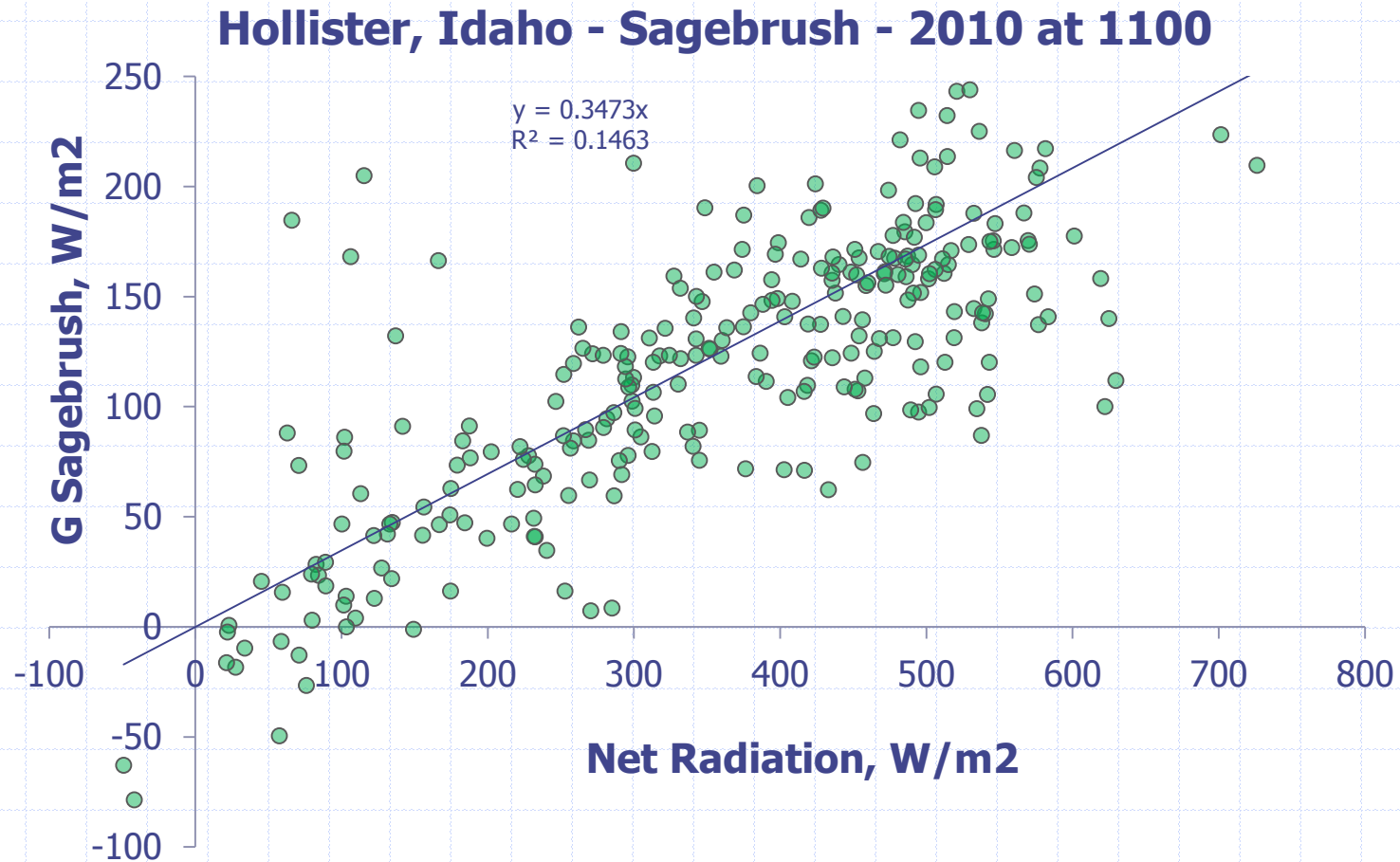




# Low levels of ET require high quality surface energy balance

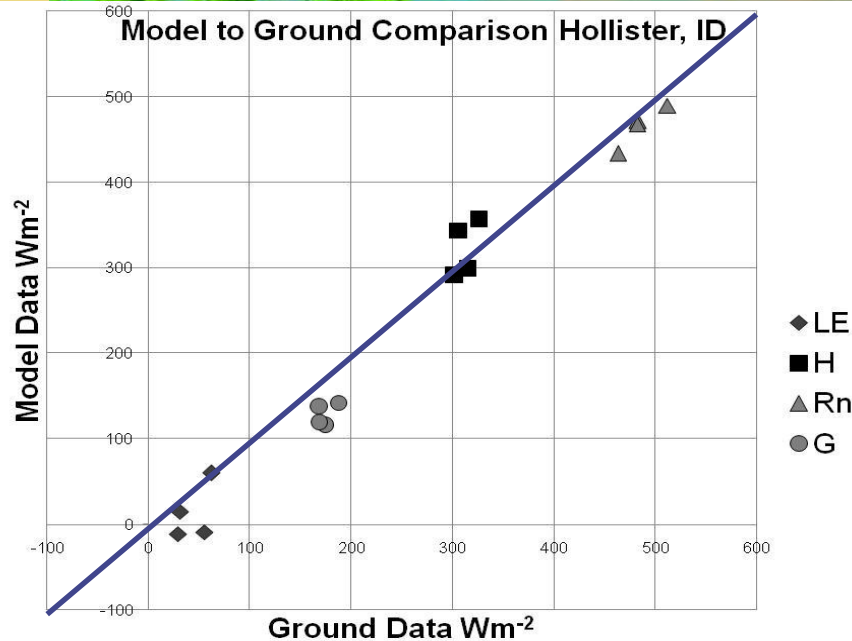


# G for water stressed systems can be large and needs to be accounted for





# Idaho NSF EPSCoR Flux Sites – Desert Systems



Four Landsat Dates during  
2010 – Sagebrush

April – September ET  
from METRIC

➤ Comparison of  
satellite-based surface  
energy balance  
(METRIC) with Eddy  
Covariance for very low  
ET signal

# Calibration of METRIC/SEBAL:

$$\text{bias}_{R_n-G} \rightarrow \text{bias}_{H-\text{cal}} \rightarrow \text{bias}_{dT} \rightarrow \text{bias}_{H-\text{pixel}} \rightarrow \text{LE}$$

*unbiased*

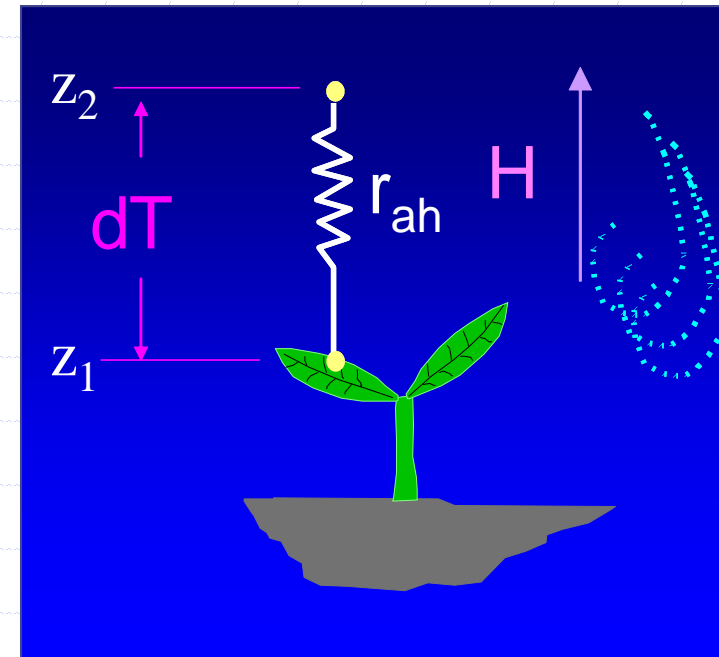
The Sensible Heat (H)  
Function calibrates around  
Biases in many of the  
Energy balance components:

(Biases exist in: net radiation, soil heat flux, aerodynamic stability, aerodynamic roughness, absolute surface temperature, atmospheric correction)

$$H = R_n - G - LE \quad (\text{for calibration})$$

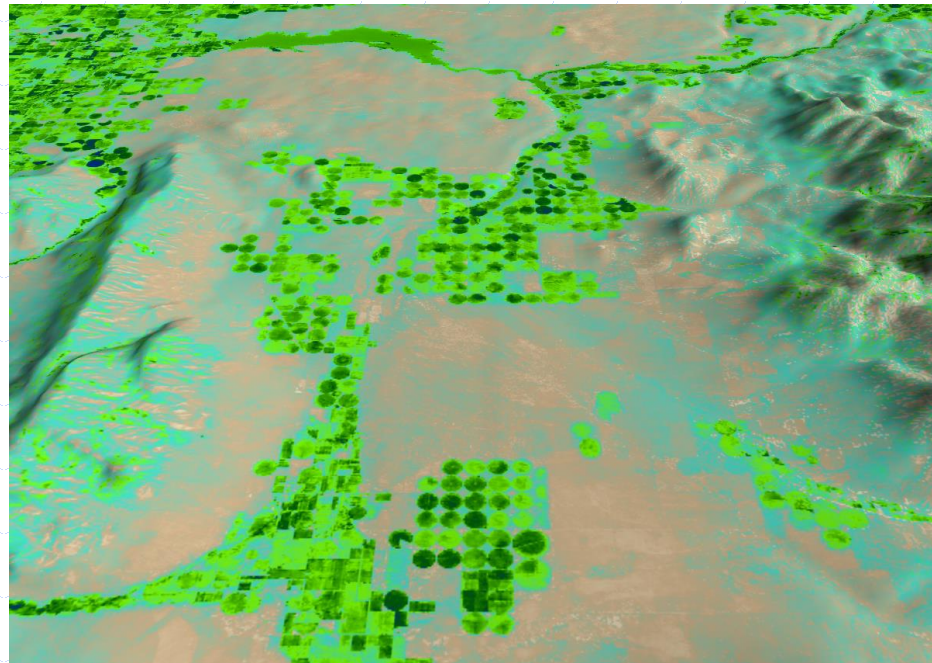
$$LE = R_n - G - H \quad (\text{during application})$$

Biases cancel out

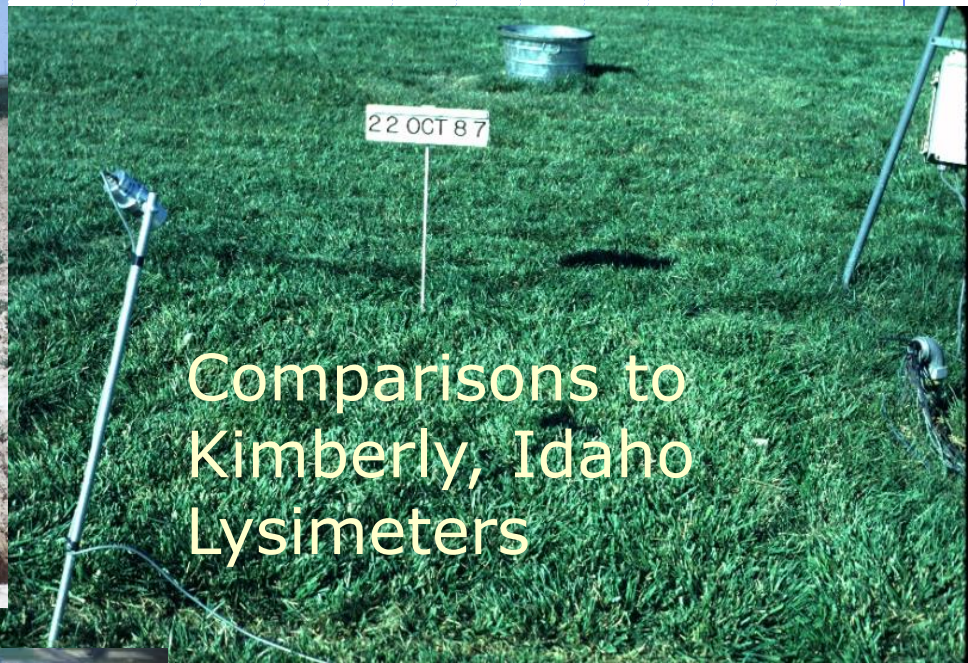


# A formula for quantifying spatially and time-variable processes

Accuracy = physics x human effort  
+ human review







Comparisons to  
Kimberly, Idaho  
Lysimeters



photos courtesy of Dr. J.Wright, USDA-ARS (ret) and R.Allen, Univ. Idaho





# Weighing Lysimeter System at Kimberly, Idaho

*Dr. James L. Wright, USDA-ARS*

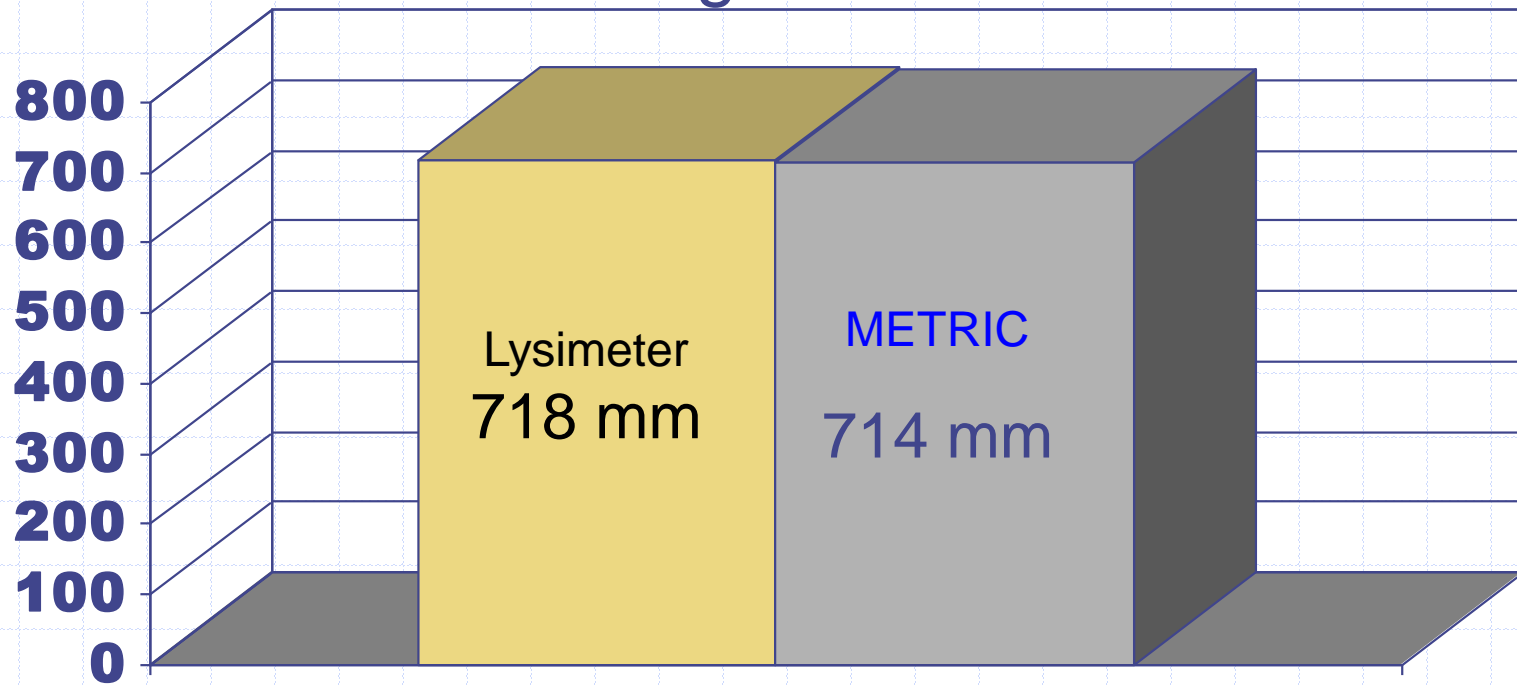


photos courtesy of Dr. J. Wright, USDA-ARS (ret)

# Comparison of Seasonal ET by METRIC<sup>tm</sup> with Lysimeter

ET (mm) - April-Sept., *Kimberly, 1989*

Sugar Beets



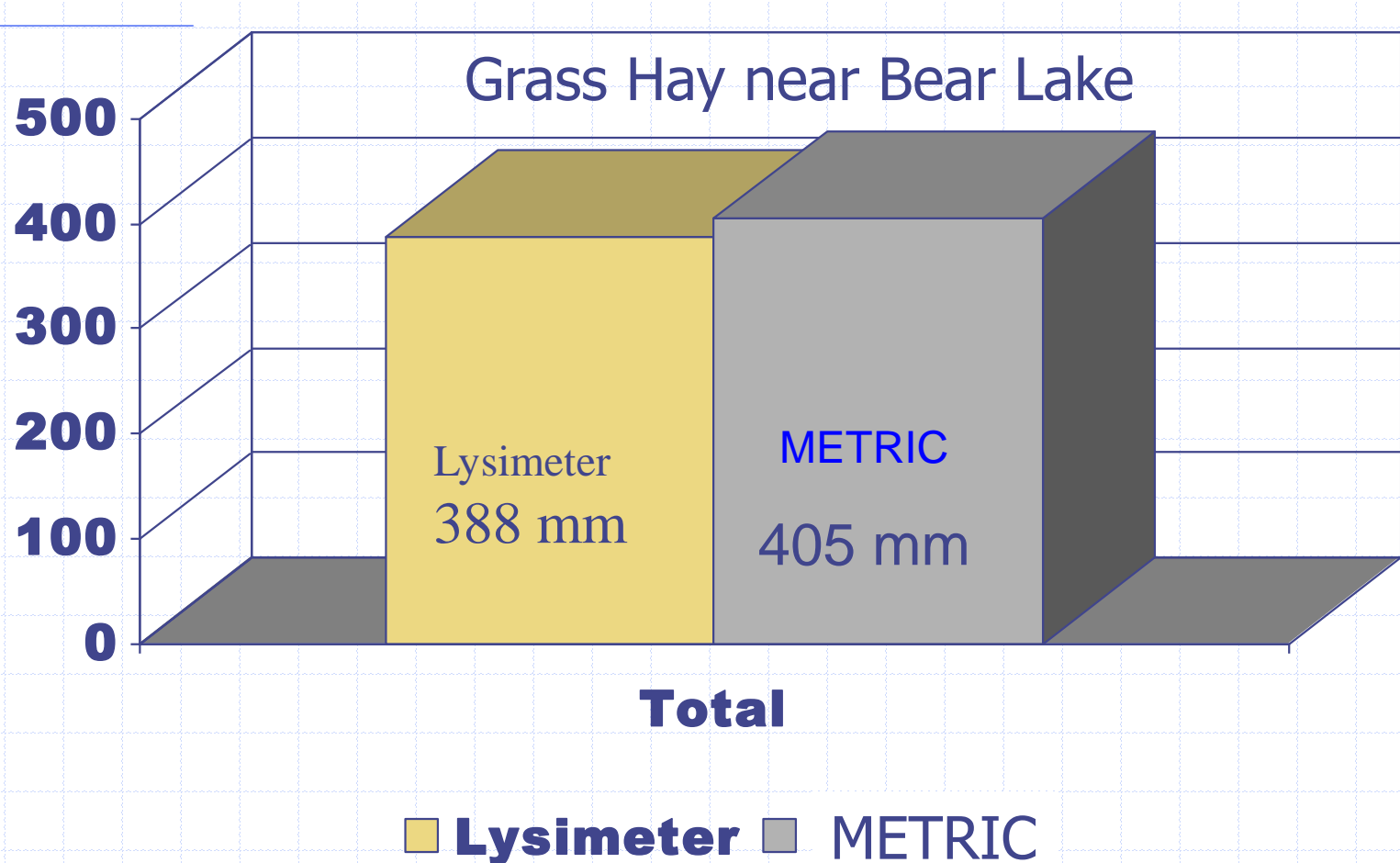
**Total**

■ **Lysimeter** ■ **METRIC**

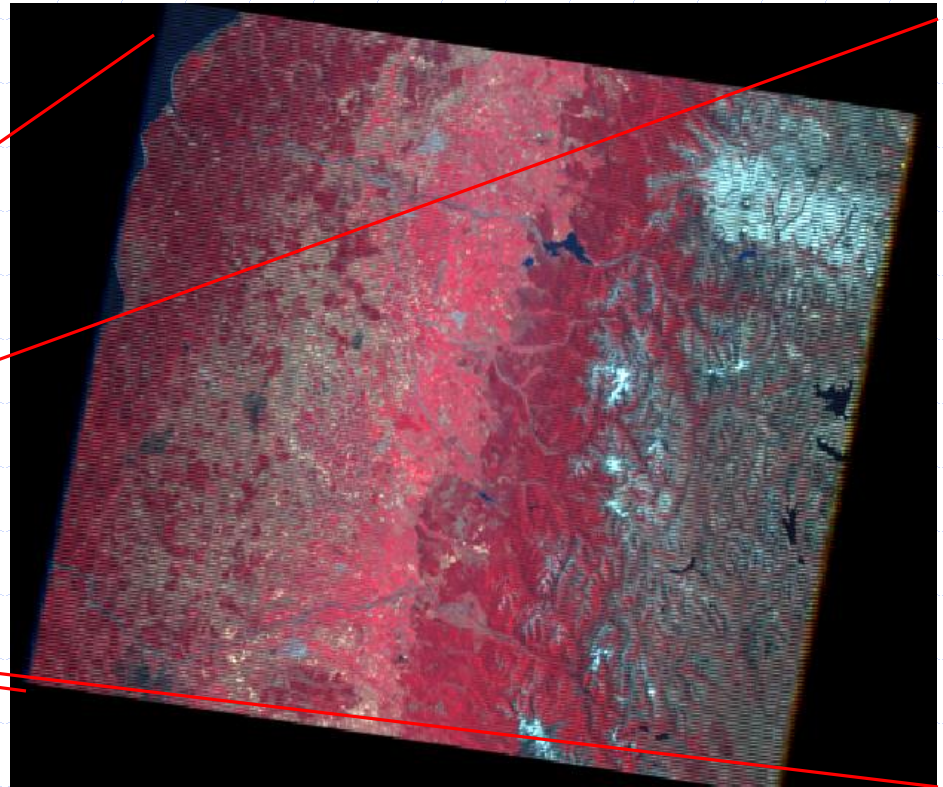


# Comparison of Seasonal ET by METRIC<sub>2000</sub> with Lysimeter

ET (mm) - July-Oct., *Montpelier, ID 1985*



# Olives in Chile

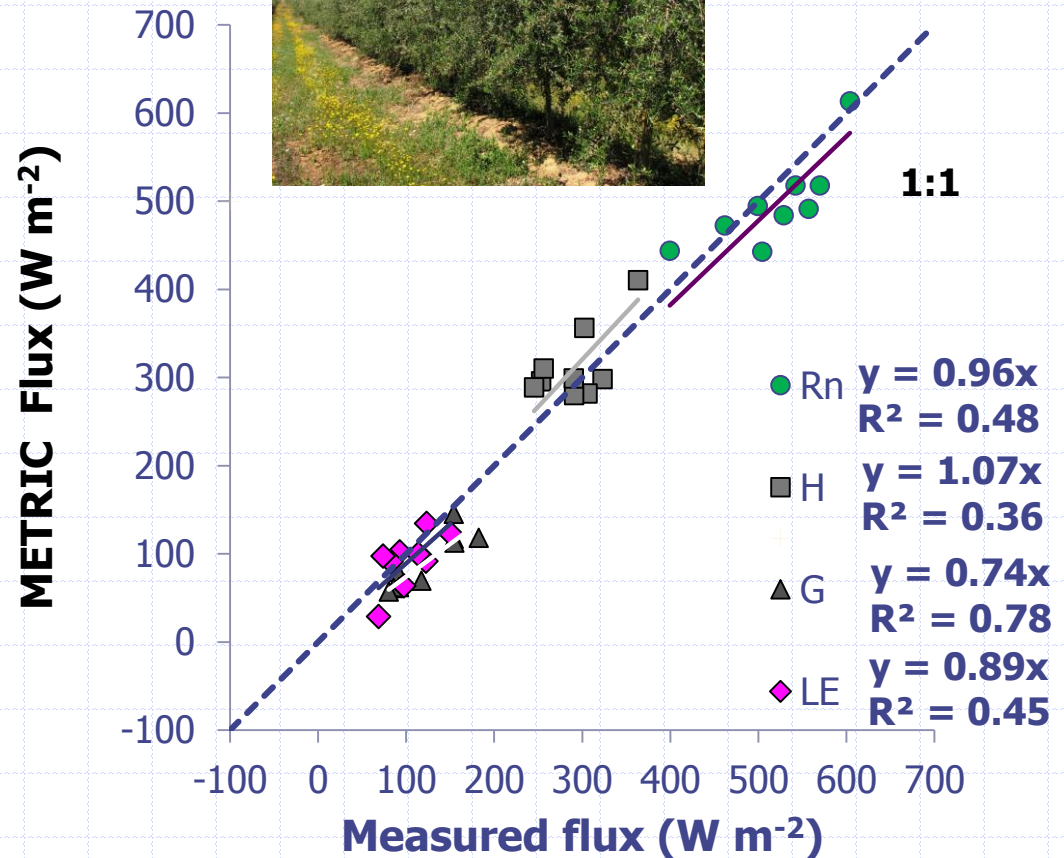


Study area is in the center of Chile  
Path 233, Row 85, Landsat 7 processing  
(2011 & 2012)

Chile

*Data by Dr. Samuel Ortega, Univ. Talca, Chile,  
collaboration with Dr. A. Kilic, Univ. Nebraska*

# METRIC vs. Ground Measurements – Olive Orchard near Talca, Chile



New olive production in central Chile with relatively dense tree spacing.

ET fluxes measured using an eddy covariance system mounted above the crop.

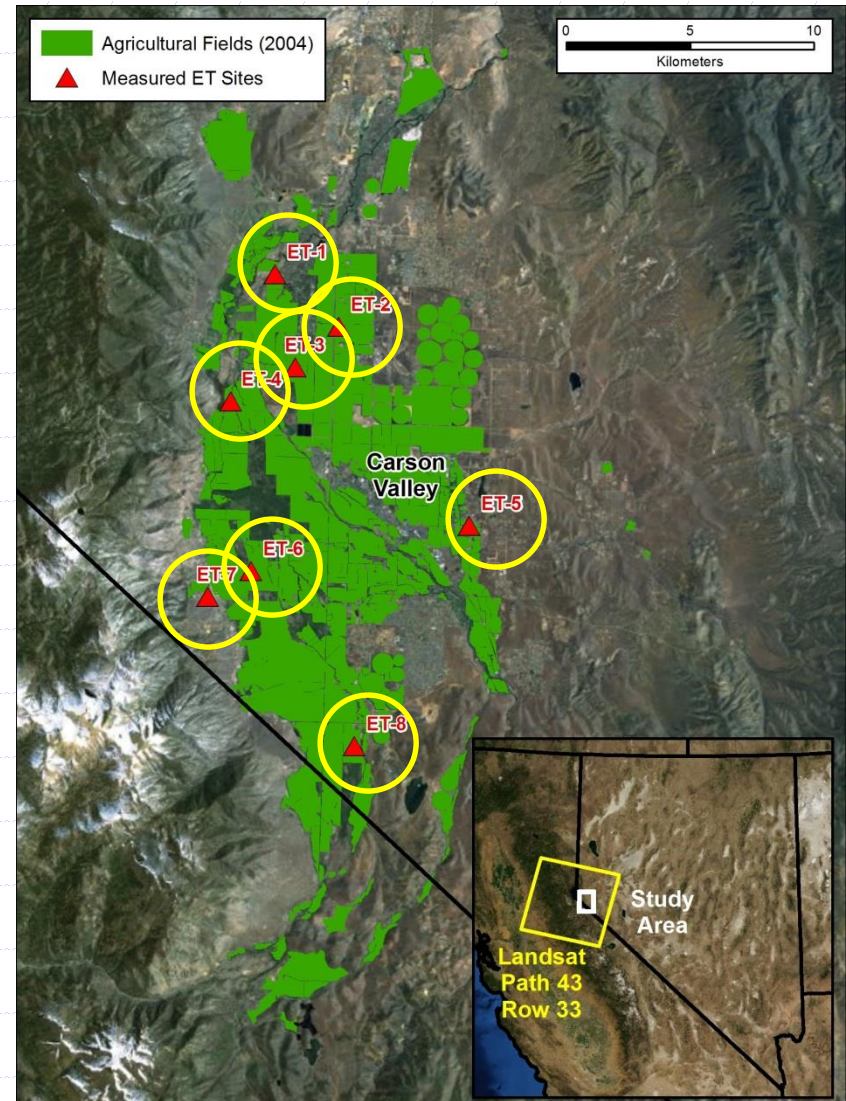
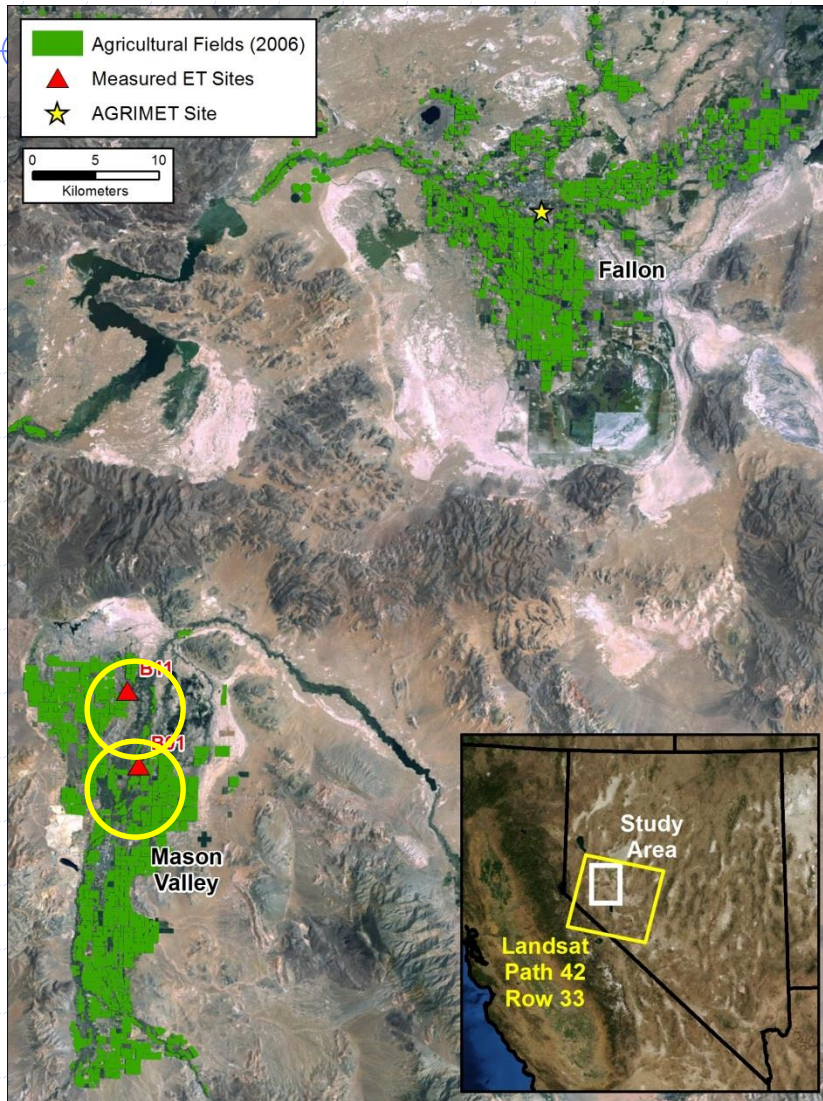
*Analyses by Samuel Orlando Ortega Salazar, with A. Kilic, Univ. Nebraska*



# Nevada

*compiled by Dr. Justin Huntington, DRI*

## Blind Comparison of METRIC Seasonal ET to Measured ET – Desert Research Institute

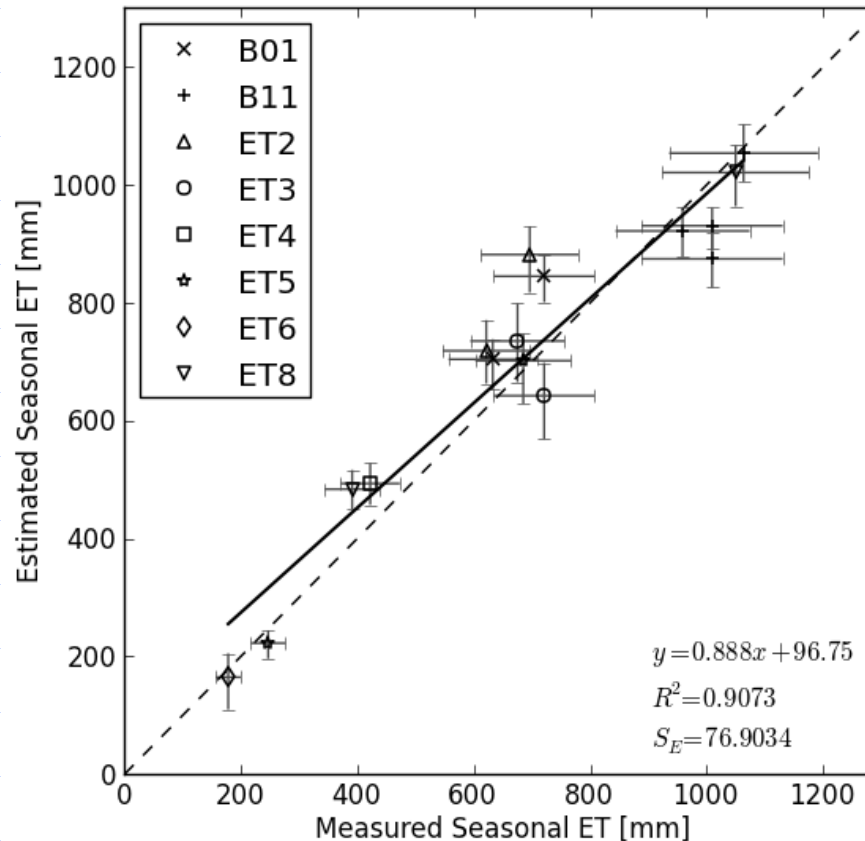


*Ground measurement data by USGS*



# Nevada

## Blind Comparison of METRIC Seasonal ET to Measured ET



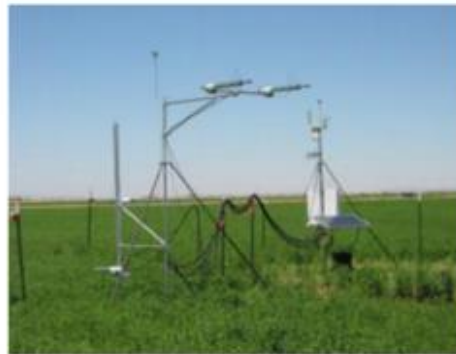
- ◆ Whiskers on X = +/- 12% USGS estimated uncertainty in measured Bowen ratio/eddy ET
- ◆ Whiskers on Y = +/- 95% confidence interval of 100 Monte Carlo METRIC ET estimates

# “Blind” Intercomparison of Leading ET models – 2014 – SE California

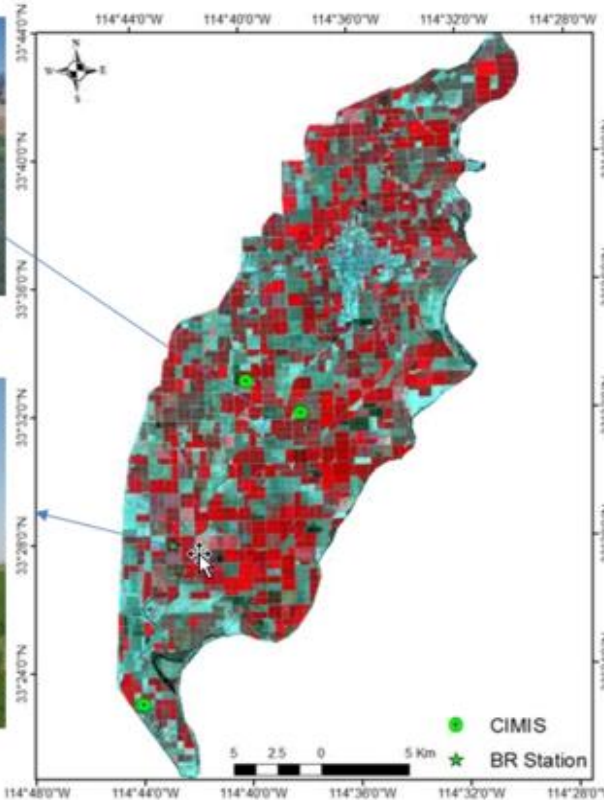
## Site 1: Palo Verde Irrigation District (PVID)



CIMIS Weather Station , Blythe NE # 135



Full surface energy balance flux measurements based on Bowen Ratio Station



False color RGB , NIR-Red-Green Landsat 5 image during DOY 131, May 10, 2008

### List of Landsat 5 scenes used

No.	Date (2008)	DOY (2008)	Path	Raw
1	19-Jan	19	38	37
2	11-Feb	42	39	37
3	27-Feb	58	39	37
4	07-Mar	67	38	37
5	23-Mar	83	38	37
6	08-Apr	99	38	37
7	24-Apr	115	38	37
8	10-May	131	38	37
9	17-May	138	39	37
10	26-May	147	38	37
11	11-Jun	163	38	37
12	18-Jun	170	39	37
13	13-Jul	195	38	37
14	29-Jul	211	38	37
15	05-Aug	218	39	37
16	21-Aug	234	39	37
17	15-Sep	259	38	37
18	01-Oct	275	38	37
19	17-Oct	291	38	37
20	09-Nov	314	39	37
21	18-Nov	323	38	37



# “Blind” Intercomparison of Leading ET models – 2014 – SE California

## Seasonal Water Balance

Summ

Individual  
– vs. Group


	RMSE	BIAS
Measured		
	1.5	-0.2
	2.7	-2.5
METRIC	0.9	-0.1
	1.3	-0.8
	2.1	-1.7

Water balance Component	Depth (mm/year)			METRIC			
Precipitation	71	71	71	71	71	71	71
Inflow Main Canal	2479	2479	2479	2479	2479	2479	2479
Total Inflow	2550	2550	2550	2550	2550	2550	2550
Canal Spills	284	284	284	284	284	284	284
Drainage	998	998	998	998	998	998	998
<b>ET</b>	<b>(1000)</b>		<b>956</b>	<b>1223</b>	<b>952</b>	<b>X</b>	
Total Outflow	(2282)		2238	2505	2234	X	
Inflow- Outflow	(268)		312 (-12.2%)	34 <b>(-1.8%)</b>	316 (-12.4%)	X	



*Estimates by METRIC were < 2% for both individual field and entire district*





# Other applications where effort and accuracy matters

# Idaho

## Eastern Snake Plain Aquifer Model

### METRIC ET data:

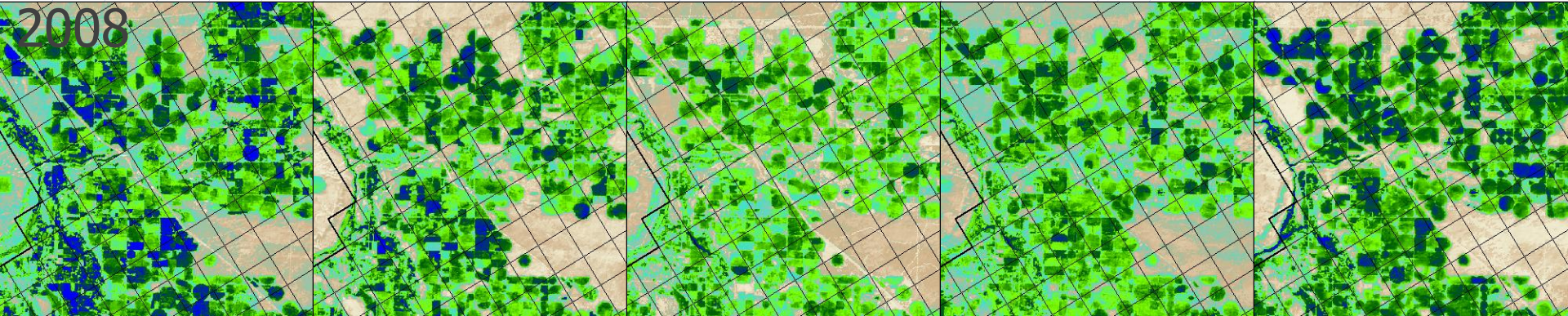
- ◆ Have provided more accurate calibration of the groundwater model
- ◆ Improved accuracy of depletions and recharge estimates
- ◆ Shows long term trends and annual variation in ET

1996

2000

2002

2006



# Idaho Clear Springs Foods Water Call

Idaho *Business News*

## Water curtailment ordered in Magic Valley

POSTED: 11:13 MDT Thursday, July 23, 2009

by IBR Staff

Idaho Department of Water Resources Interim Director Gary Spackman on July 22 issued a **curtailment order** to about 250 holders of 315 junior water rights in south central Idaho's Magic Valley. The curtailment order is part of a continuing response to a water delivery call made in 2005 by senior water right holder Clear Springs Foods.

**State goes ahead with first large-scale well closure of more than 300 water rights in M.V.**

7/31/2009

**Water districts have limited options, could file a stay**

By Nate Poppino

Times-News writer

The Idaho Department of Water Resources will go forward this morning with a plan to shut off more than 300 water rights irrigating just less than 9,000 acres of Magic Valley farmland, the first wide-scale well curtailment to actually be carried out by the state.





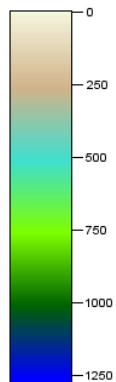
# Idaho

METRIC ET 2006 April to October

Yellow parcels  
threatened with  
cutoff. Solution:  
They bought the  
Trout Farm

Evapotranspiration  
(in millimeters)

Seasonal



Junior water rights

ESPA model cells

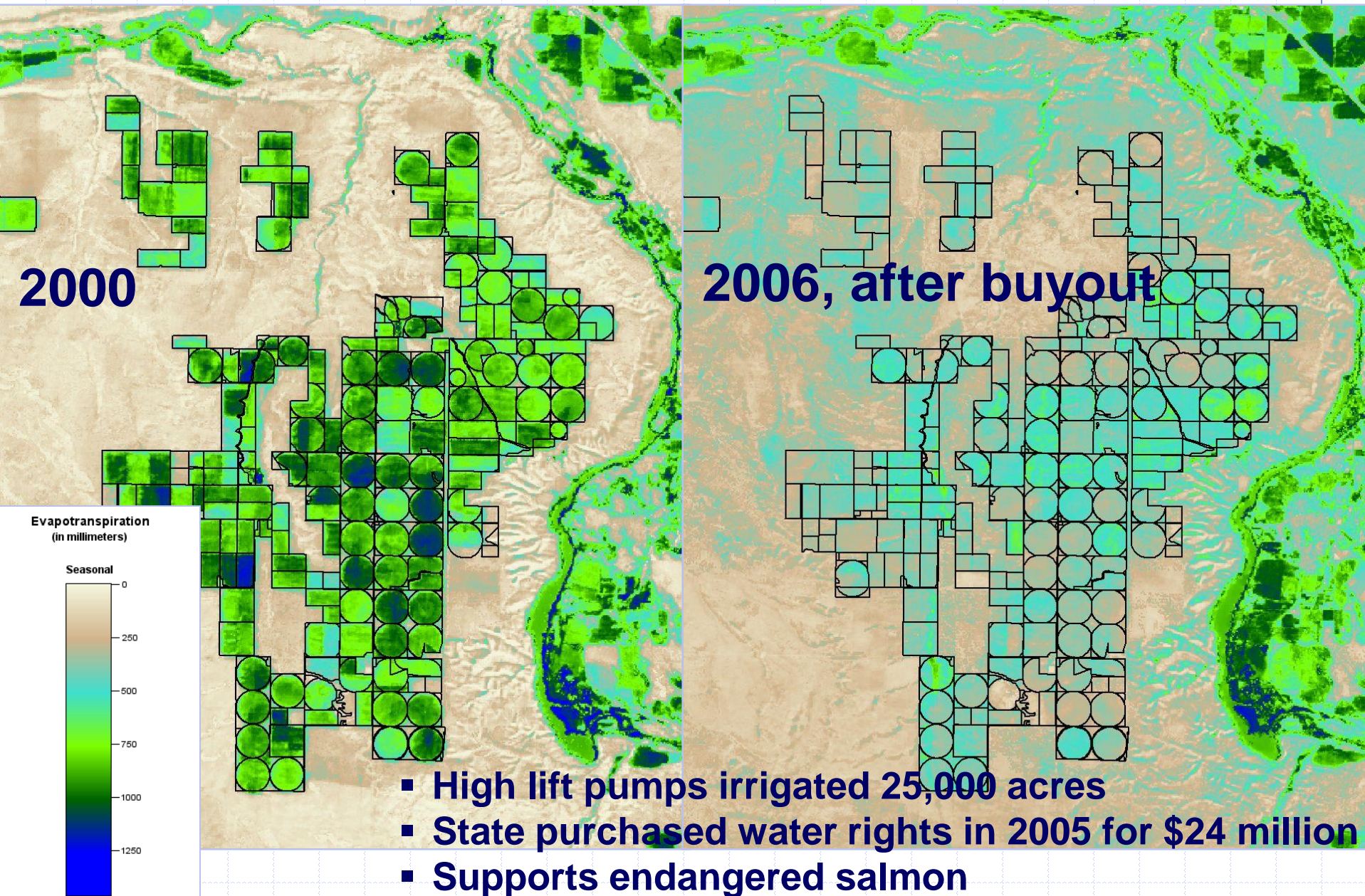
0 5 10 20 Kilometers

Annual Water Consumption = 4 million acre feet/year (3  
Trillion gallons; 5 Trillion liters)



# Idaho

## Bell Rapids Irrigation Project, Idaho: Seasonal ET

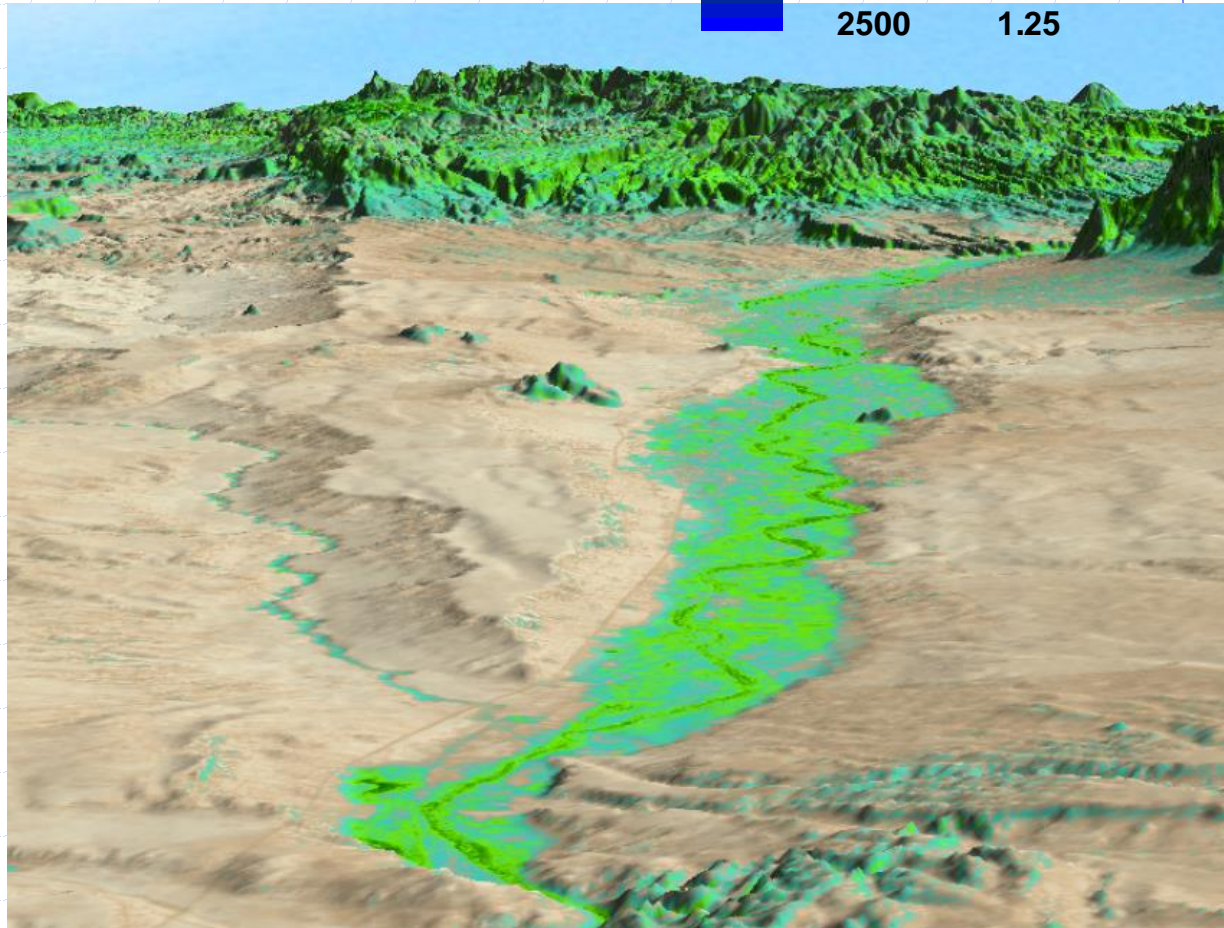
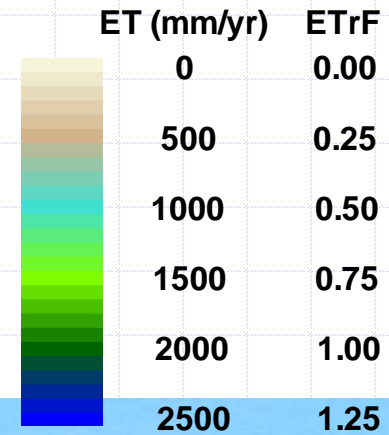




# New Mexico

## Rio Grande of New Mexico

- Pueblo (*native American*) water rights dating to Coronado in 1500's
- Invasion of salt cedar
- Does increased pecan production increase ET from irrigated agriculture?



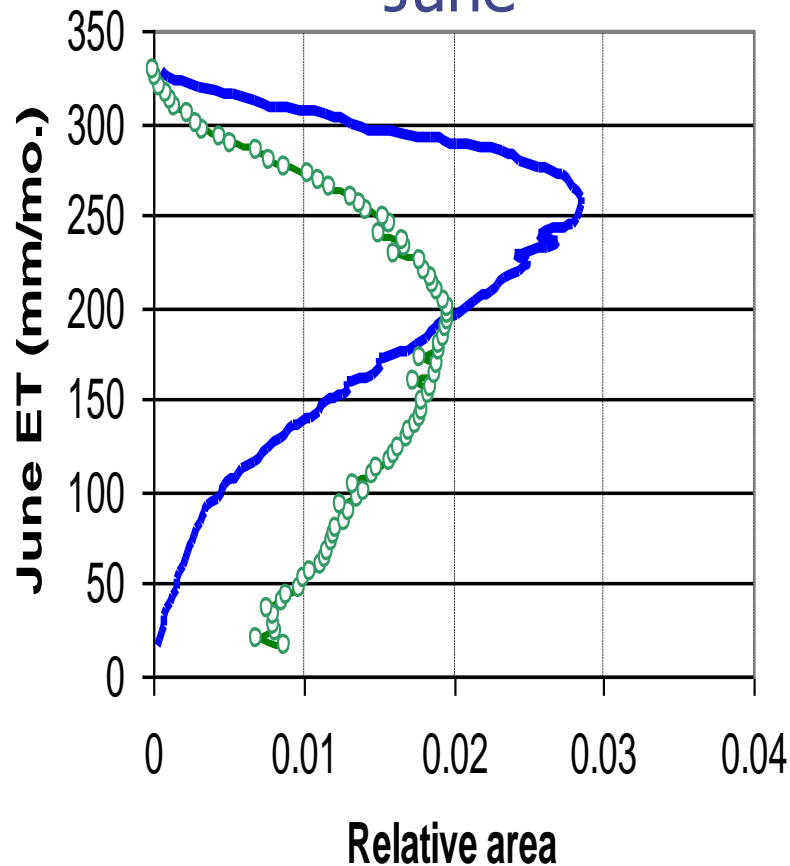


# New Mexico

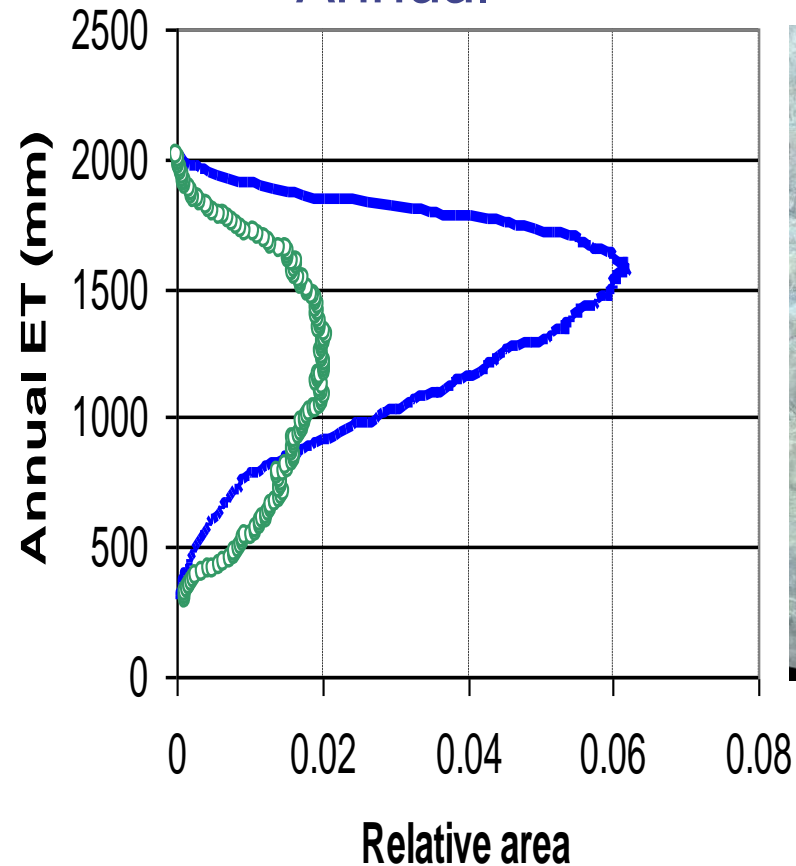
## Frequency Distribution of ET

15,000 acres of cottonwood and salt cedar

June



Annual



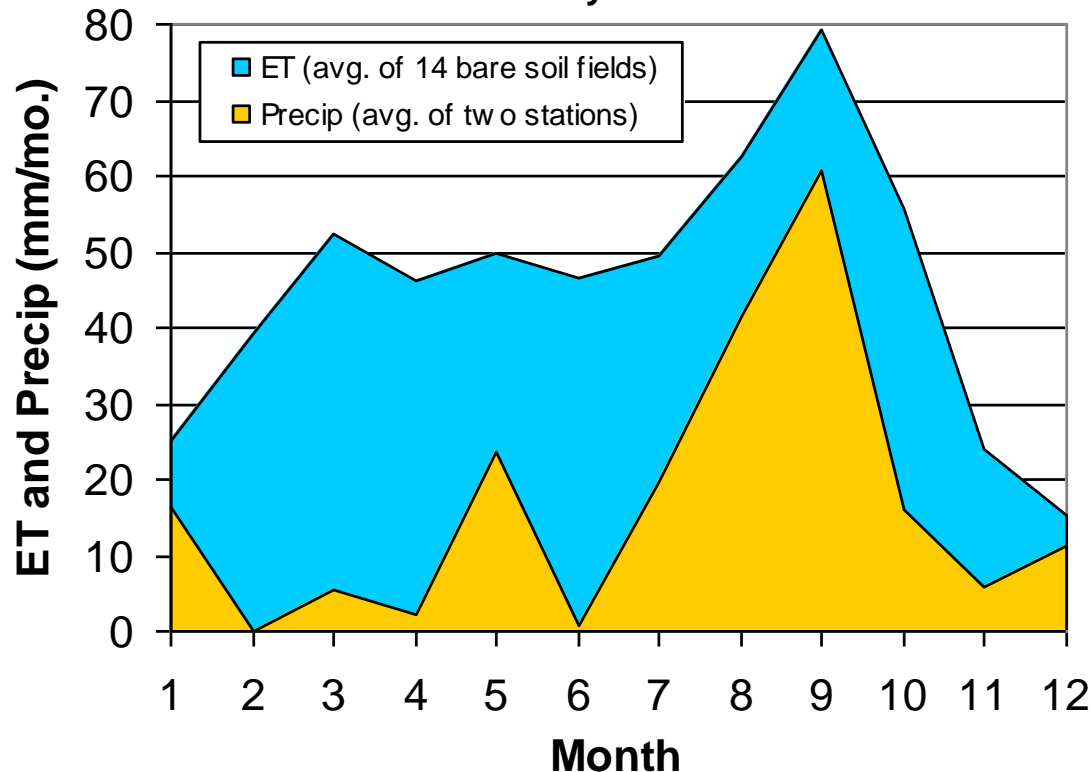
*Tasumi and Allen, 2006*

— Cottonwoods —○— Saltcedar

— Cottonwoods —○— Saltcedar

# With Thermal Imaging, we can see important evaporation from wet soil – for example from high water tables

Monthly bare soil ET and precipitation in MRG valley



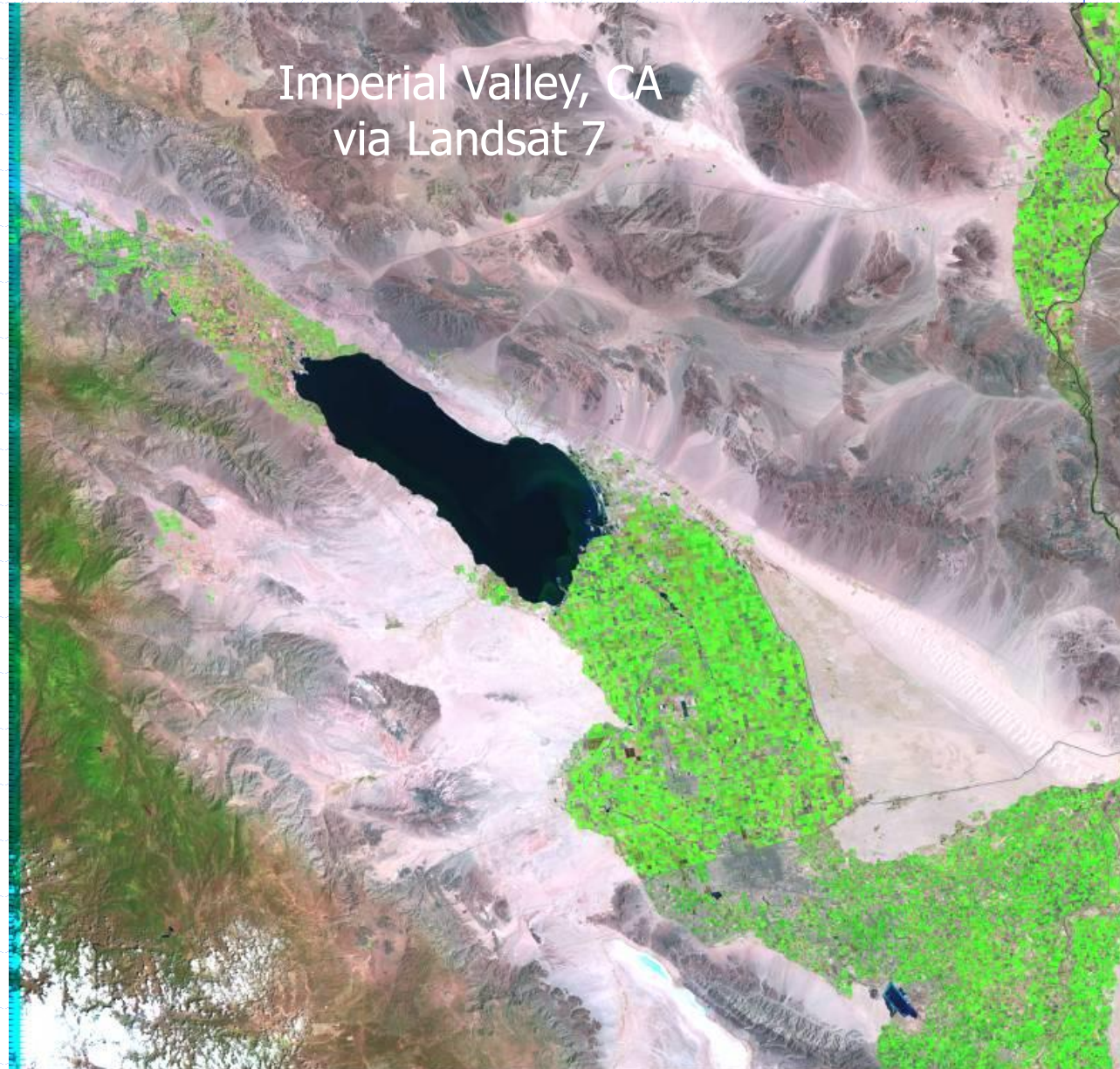
*Evaporation during 2002 from continuously bare areas along the **Middle Rio Grande** of NM contrasted with precipitation*



# California

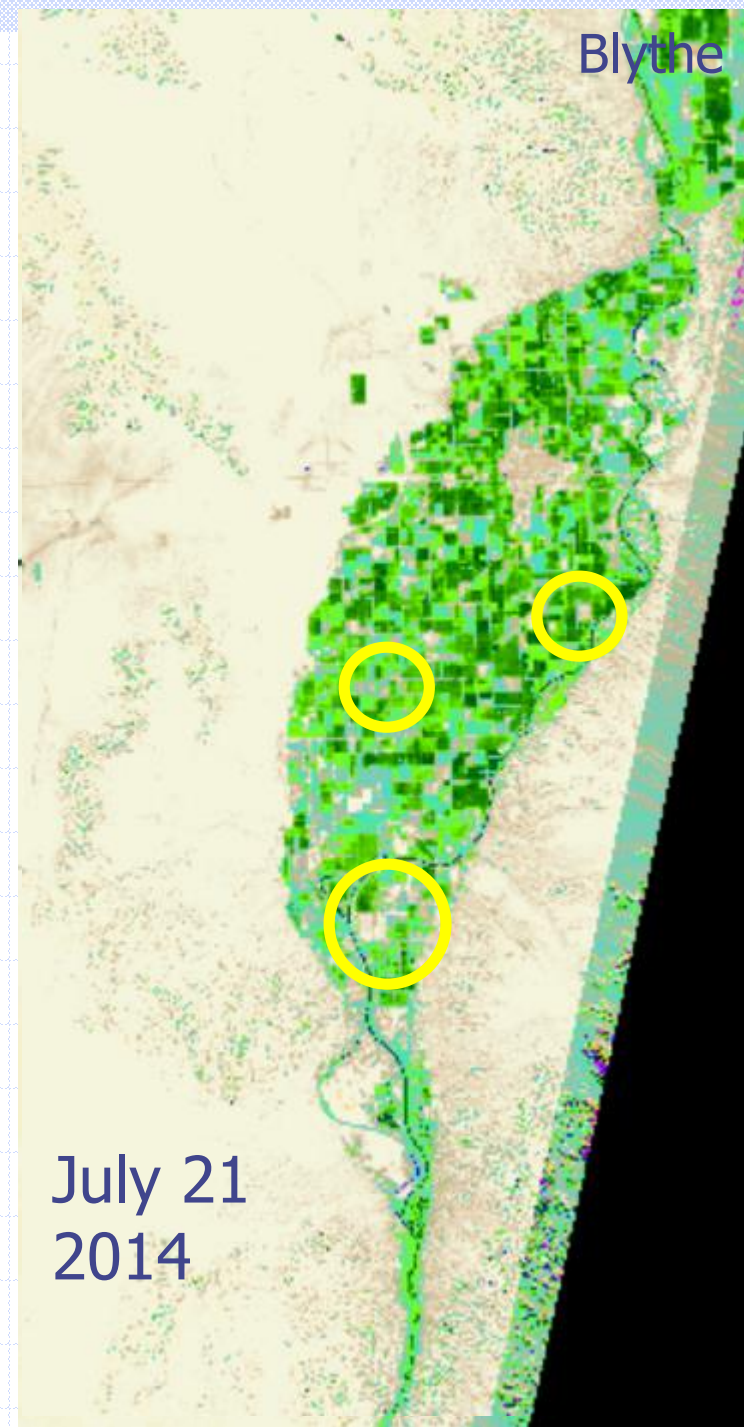
## Imperial Valley

- ~15% of traditional water supply to agriculture will now flow to San Diego/ Los Angeles
- What is the impact on agriculture, water consumption and on the Salton Sea?



# Fallowed Fields in 2014

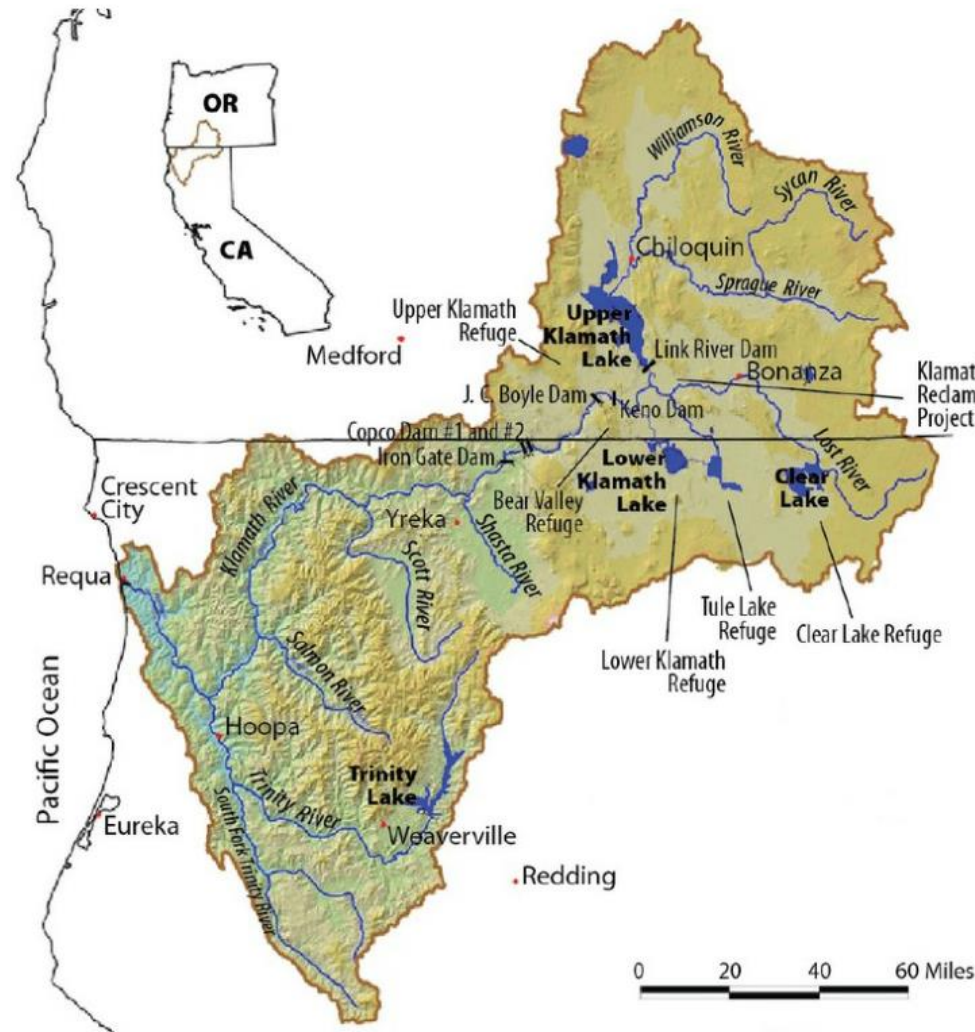
- Reduction of ET 'should' reduce to nearly zero (if little rainfall)
- Transition of alfalfa fields is notable
- Cities are able to document reduction of agricultural ET and compliance with Colorado River Compact





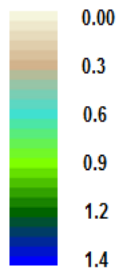
# Background- The Klamath River Basin

- ◆ Home to many diverse species of wildlife
- ◆ Economically and culturally diverse rural communities
- ◆ Encompassing over 12,000 square miles, about the size of the state of Maryland.
- ◆ The Karuk, Yurok, and Klamath Tribes still harvest salmon and c'wam from the river for cultural and subsistence purposes
- ◆ Family farmers and ranchers use the river for irrigation of diverse crops
- ◆ Coastal commercial fishing families depend on Klamath salmon for their living
- ◆ Bitter conflicts have emerged between Tribal, agricultural, and commercial fishing communities
- ◆ Klamath Tribes were granted senior water rights (may 2013) for large portions of the Upper Basin
- ◆ This led to large scale water shut offs necessary to protect Klamath Tribal fisheries





Relative ET ( $ET_rF$ )



$$(ET_rF = ET_{act} / ET_{ref})$$

Crater Lake

Wood River Valley

Klamath Lake

October 19





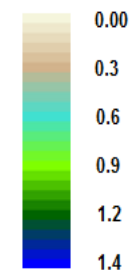
*Work funded by the USGS*

October 19

Conclusion: Some areas did not dry because of high GW table or proximity to a wetland

Wood River Valley

Relative ET ( $ET_rF$ )



$$(ET_rF = ET_{act} / ET_{ref})$$

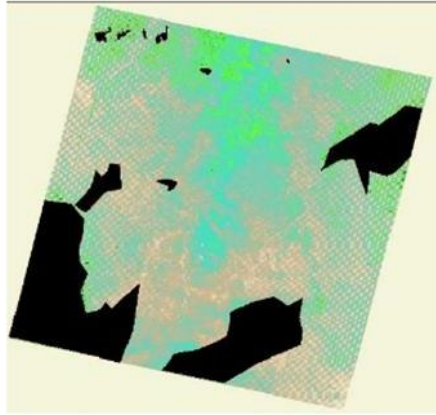
Agency Lake

Conclusion: Other areas had substantial reductions in ET. Landsat-based monitoring was essential to quantify reductions and to support adaptive water management in the basin.

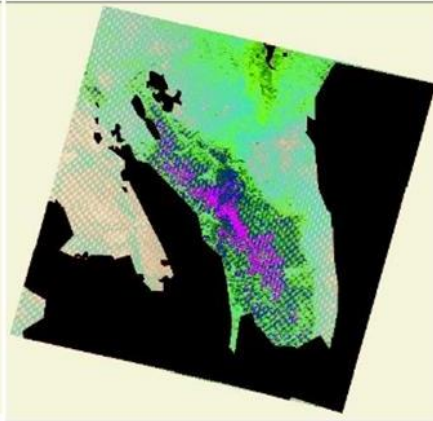


# Of course, images can be plagued with Clouds

04-16-04

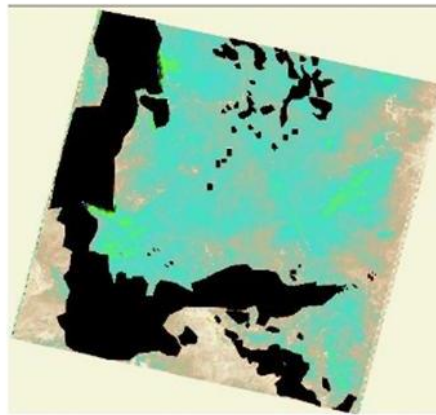


04-23-04

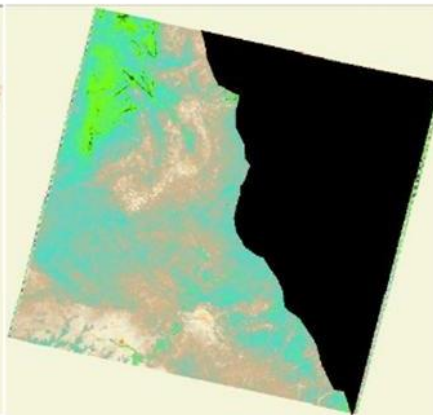


north-  
central  
Wyoming

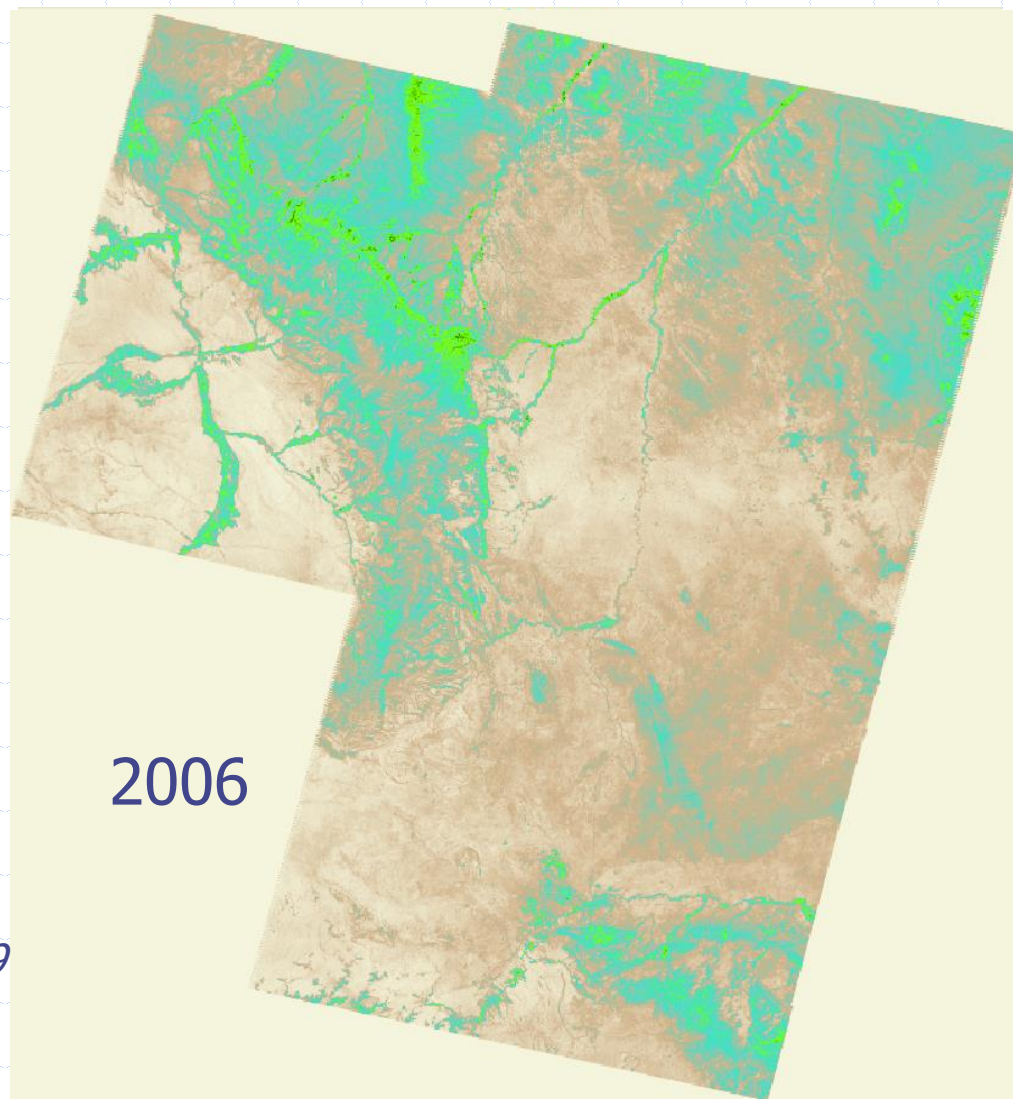
04-24-04



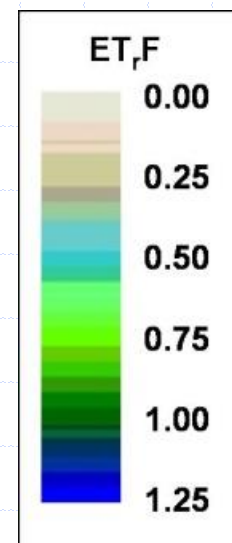
05-10-04



# ET from the north Wyoming Region for Years 2004 and 2006 following Time Integration between Landsat images and Mitigation for Clouds



*Accurate  
seasonal ET  
does not come  
easy due to the  
lack of  
Landsats*

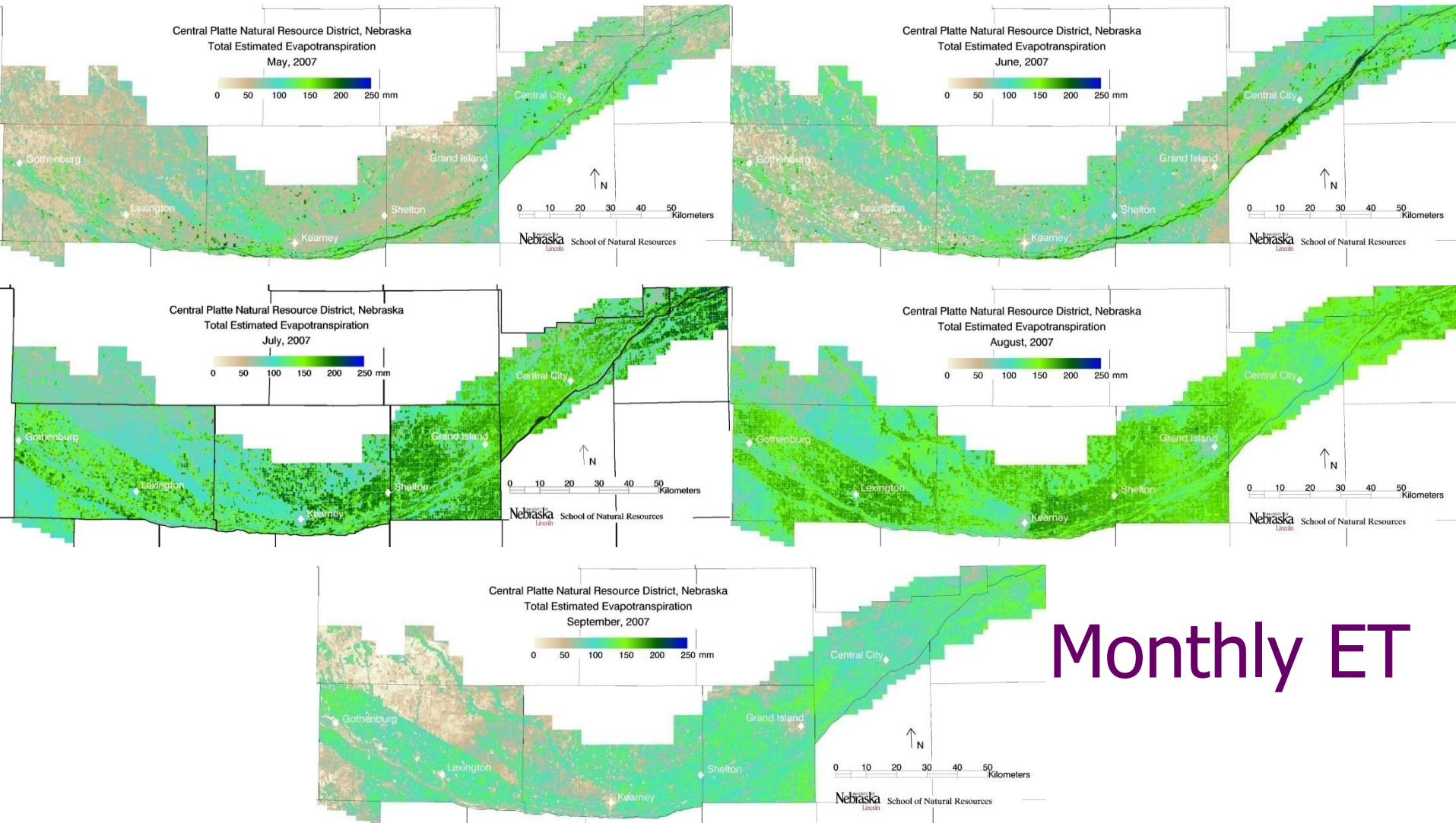


2006

*Kelly and Allen, 2009*

# Nebraska

## Central Platte Natural Resource District --- Management of the Ogallala Aquifer



Monthly ET



# CURRENT VENTURE:

## EVAPOTRANSPIRATION MODELING TOOL AT LANDSAT RESOLUTION ON GOOGLE EARTH ENGINE --- EEFLEX

### EEFlux Development Team

**Ayse Kilic** – University of Nebraska -- Professor and Presenter, *Member of Landsat Science Team*

**Justin Huntington** – Desert Research Institute – Professor, *Member Landsat Science Team*

**Rick Allen** -- University of Idaho – Professor, *Member of Landsat Science Team*

**Babu Kamble** – University of Nebraska – Developer

**Charles Morton** – Desert Research Institute – Developer

**Clarence Robison** – Univ. Idaho – GIS technician



**Ian Ratcliffe** – University of Nebraska – Remote Sensing Specialist

**Ricardo Trezza** – University of Idaho – Professor

**David Thau**, Google, Inc. – Earth Engine Advocate

**Tyler Erickson**, Google, Inc. – Earth Engine Advocate

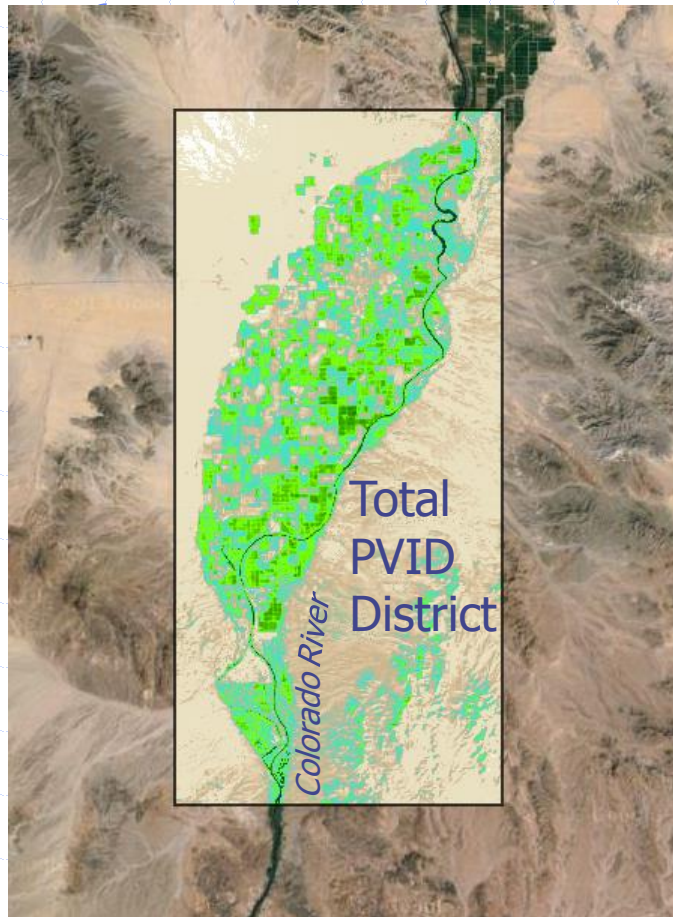
**Noel Gorelick**, Google, Inc. – Earth Engine Advocate

**Rebecca Moore**, Google, Inc. – Manager, Earth Engine / *Visionary*

# Why an Evapotranspiration Tool on Google Earth Engine?

- Earth Engine (EE) has enormous computing and storage power
- EE has essentially free access
- EE has strong developer support
- ET information is needed across the Global spectrum
- Google supports and encourages developers to 'change the world' regarding access to spatial information on the environment, natural resources, conservation and climate change

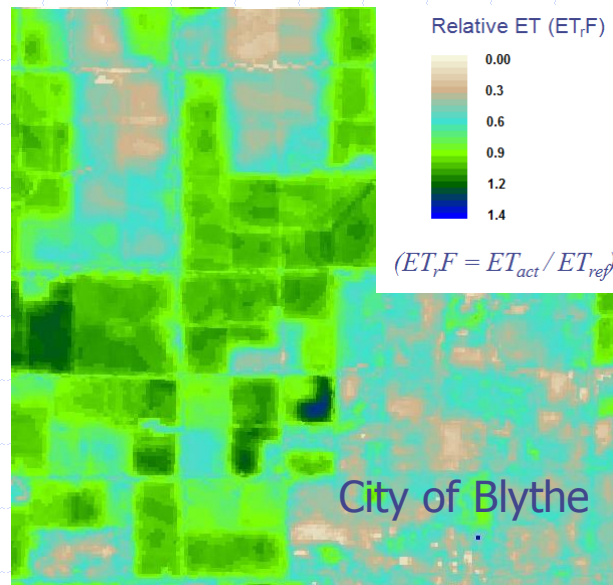
# Google Earth Engine App --- **EEFlux**



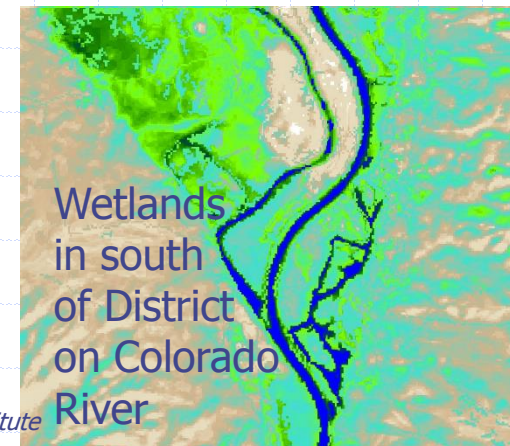
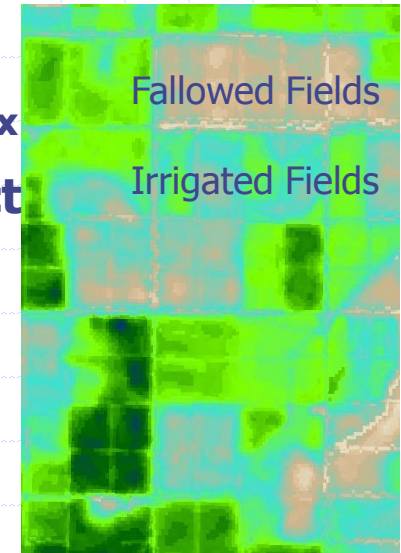
## Earth Engine Evapotranspiration Flux Palo Verde Irrigation District

Blythe, California – Jan. – Dec. 2008

-- Landsat 5 imagery Dec.



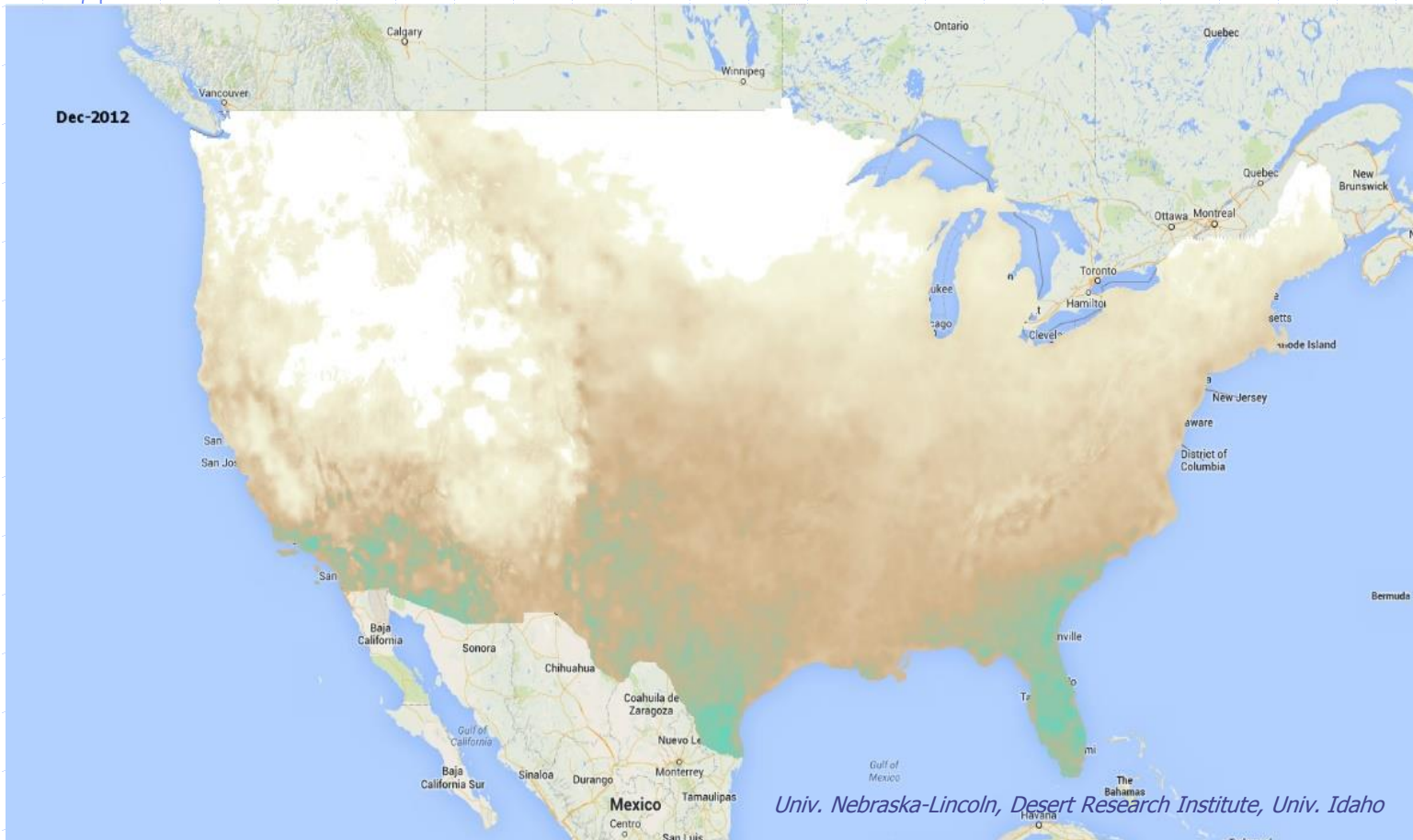
Univ. Nebraska-Lincoln, Univ. Idaho, Desert Research Institute



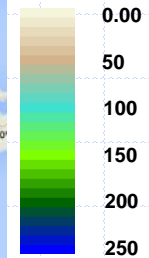
Computations are based on a complete surface energy balance (**METRIC**)



# Reference ET on the Google Earth Engine EFlux App.



Reference ET (mm/mo)

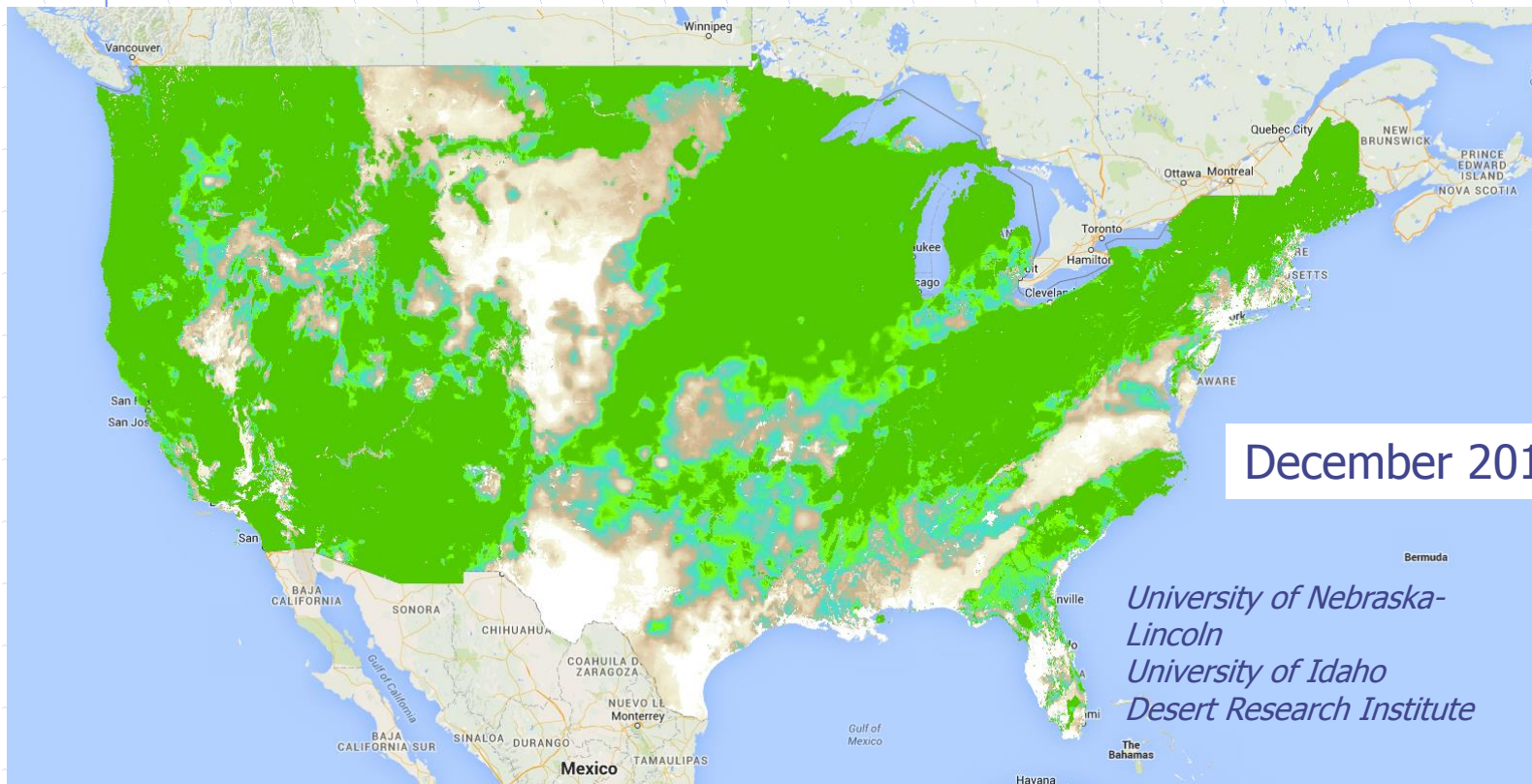


Reference ET  
calculated using  
the ASCE  
Standardized  
Penman-  
Monteith  
Equation for the  
Tall Reference  
(Alfalfa)

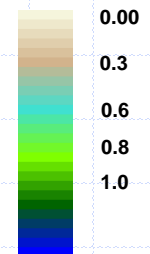
--computed from  
the GridMET  
data set of  
Abatzoglou  
(2012)

# The Soil Surface Evaporation Component of the Google Earth Engine **EEFlux** App.

--- **Evaporation from Bare Soil** --- used to calibrate the EEFlux Evapotranspiration Surface Energy Balance to account for Precipitation Effects on ET



Evap. Coef. ( $K_e$ )



$$(K_e = E_{act} / ET_{ref})$$

December 2012

University of Nebraska-Lincoln  
University of Idaho  
Desert Research Institute

--computed from the GridMET weather data set of Abatzoglou (2012)  
-- GridMET is traceable to NLDAS and PRISM data sets



# Next Steps

## **Automation of:**

- Cloud detection and mitigation
- Calibration of EEFlux energy balance for highest accuracy
- Time integration to produce monthly and annual ET volumes
- Mosaicing paths

## **Development of a User Console**

- to save project information
- free access to EEFlux API's (level 1)
- level 2 means to permit some degree of tuning

## **National and Global application**

# American Society of Civil Engineers

## – Proposed Tier System for Characterizing Methods for Remote Sensing of ET

### ***Task Committee on Remote Sensing of Evapotranspiration***

*-- Ayse Kilic, Univ. Nebraska-Lincoln, Chair*

Purpose:

Help perspective users of methods and data understand:

- internal mechanics
- assumptions and limitations
- expected accuracies

Committee period: May 2015 – April 2018



**Tier 1.** (lowest tier) - cursory exploration of spatial distribution of water consumption according to the distribution of vegetation

- Use general ET vs. vegetation indices or general Kc vs. vegetation indices

- Useful for:

  - Identifying irrigated vs. nonirrigated areas, showing greenness and wetness of riparian systems, etc.

  - for atlas-level types of work.

**Tier 2.** – Short-wave and/or thermal-based ET products where limited human overview is exercised, and where the procedure has a limited physical basis.

--Methods may include  
scaling from vegetation indices and  
scaling of reference ET by surface temperature.

--Applications may include:

- a. annual reporting where low to moderate accuracy is acceptable due to a trade-off of accuracy with rapid or unsupervised computation
- b. national or global surveys on water consumption or production of atlases



**Tier 3.** – ET production systems based on a full surface energy balance including:

- albedo
- surface temperature
- soil heat flux
- surface roughness
- surface wetness
- boundary layer instability

Tier 3 systems should be useful for parameterizing or driving:

- hydrologic models including ground-water recharge and depletion estimation in noncomplex terrain
- surface water accounting on streams and streamflow depletion
- general basin-wide water balances in relatively noncomplex terrain, and
- developing crop coefficients.

## **Tier 4.** (top tier) – ET production systems to be used for supporting:

- a. management of water rights
- b. water transfers
- c. litigation
- d. streamflow depletion for mitigation and multi-state agreements.

Tier 4 systems employ a full surface energy balance and include:

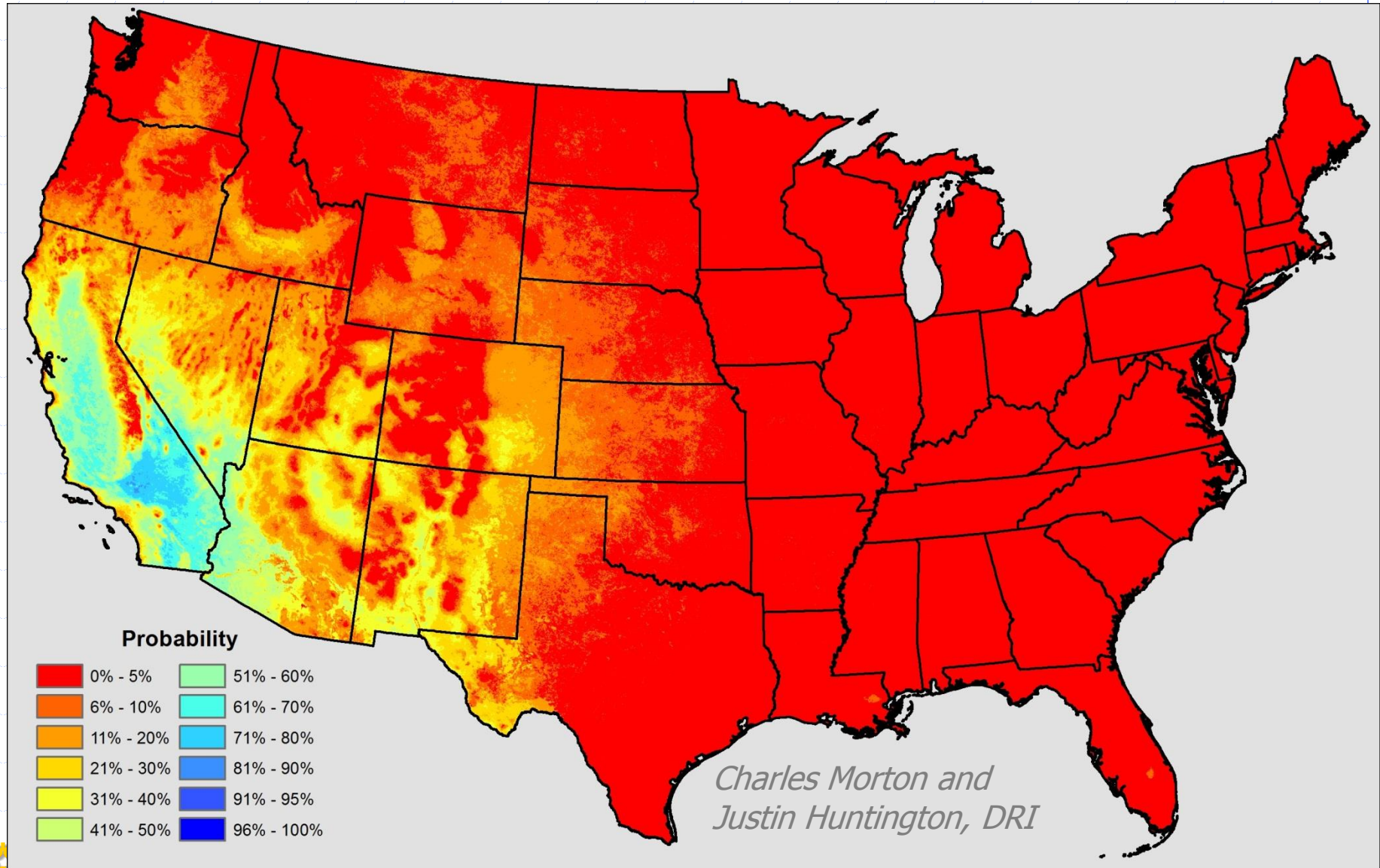
- algorithms for calculating solar radiation on complex slopes and variation in aerodynamics over complex terrain
- use time integration schemes and cloud mitigation schemes that produce moderate to high accuracy at the monthly scale.
- employ one, two or three source surface models, depending on the aerodynamic scheme

Tier 4 systems employ a sufficient amount of human oversight and intervention and professional, expert review.



1 Satellite (image each 16 days)

**Probability of producing a good estimate of Water Consumption over any given year** (having a Cloud-free Image at least every 32 days during the growing season)

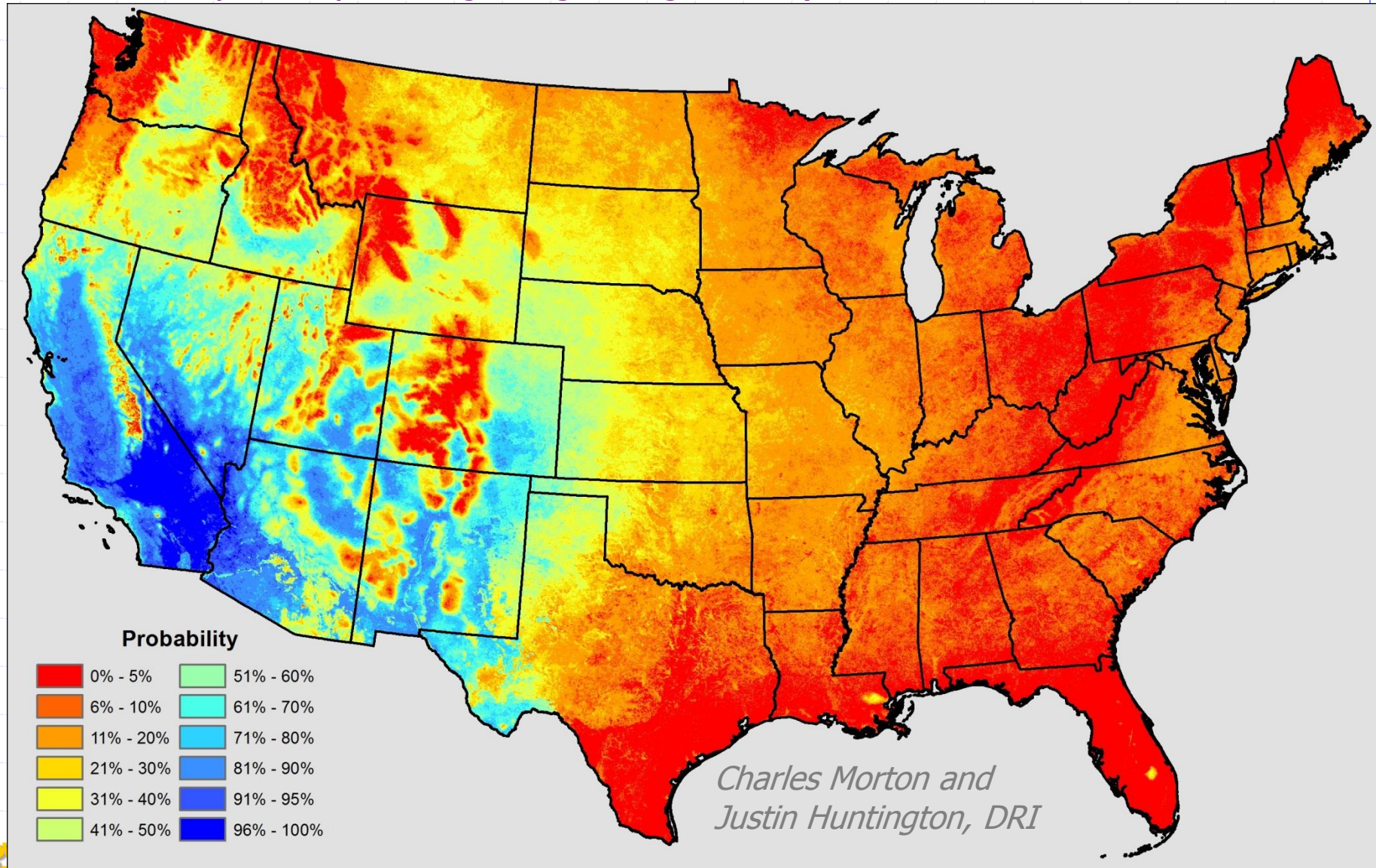




2 Satellites (image each 8 days)

**Probability of producing a good estimate of Water**

**Consumption over any given year** (having a Cloud-free Image at least every 32 days during the growing season)

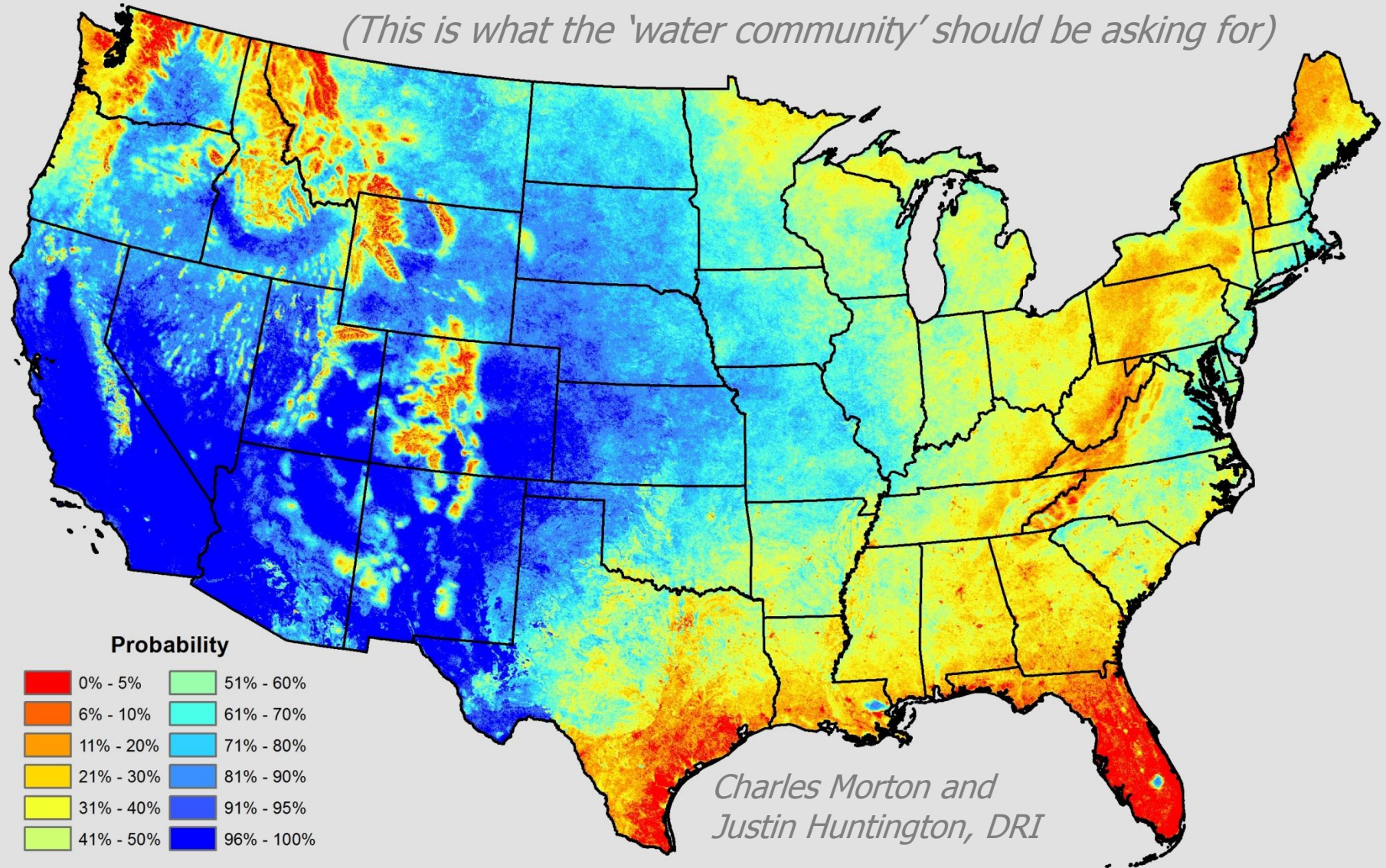




4 Satellites (image each 4 days)

**Probability of producing a good estimate of Water Consumption over any given year** (having a Cloud-free Image at least every 32 days during the growing season)

*(This is what the 'water community' should be asking for)*

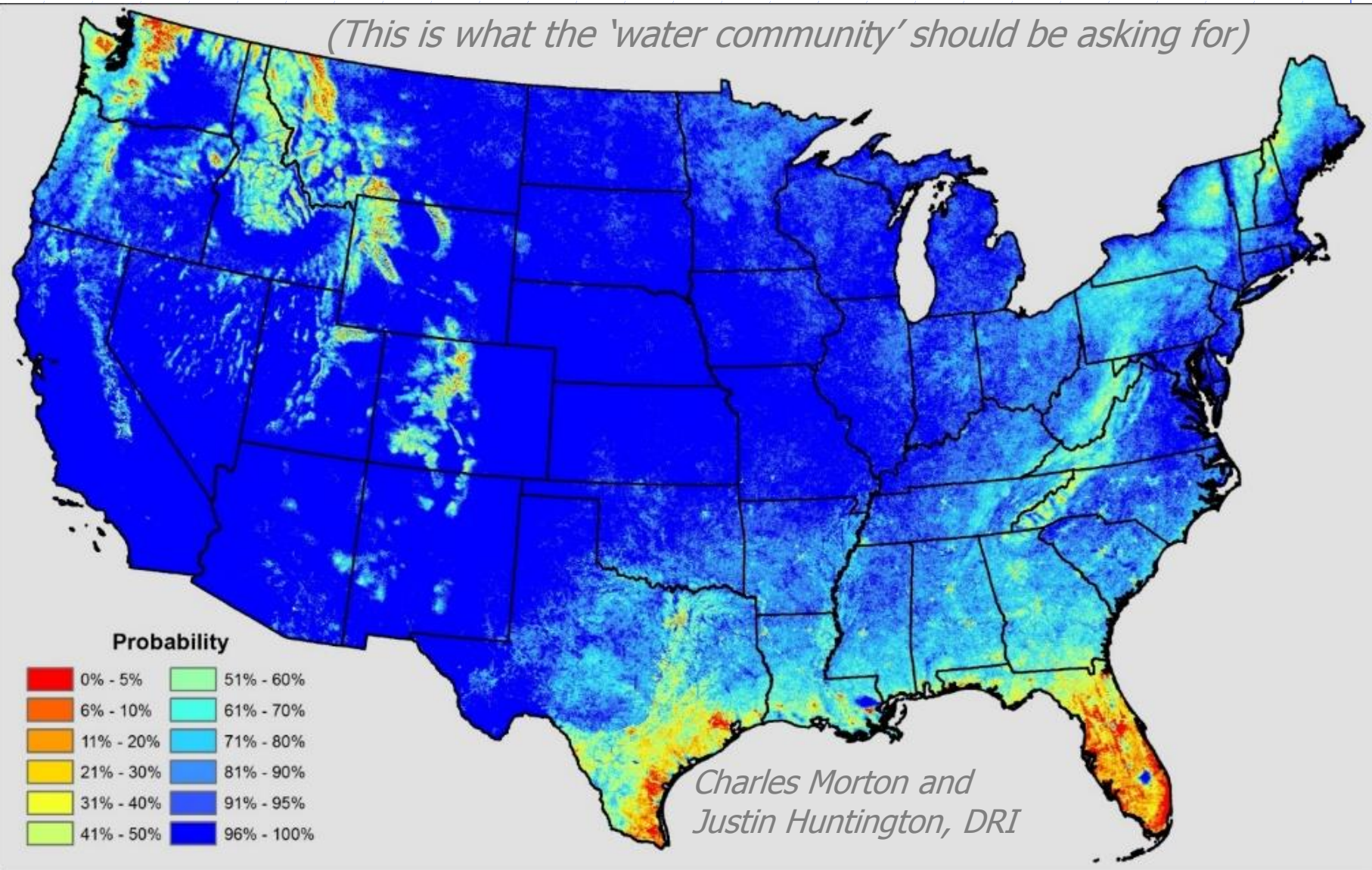




8 Satellites (image each 2 days)

**Probability of producing a good estimate of Water Consumption over any given year** (having a Cloud-free Image at least every 32 days during the growing season)

*(This is what the 'water community' should be asking for)*



# A Landsat-based "Earth-Selfie" concept

- ◆ Cost: Less than 3 coffee-latte's per American per year
- ◆ Support SIXTEEN Landsats in orbit
- ◆ DAILY Earth-Selfie's
- ◆ Consider:

- *99% of all Americans blow at least \$10 per week on superfluous things: cafe-lattes; bottled water; movies; gasoline to motor three blocks to the market-place or across town to look for designer jeans.*
- *However, we don't want to spend the <\$0.50 PER YEAR per American needed to launch and operate a Landsat that takes field-scale 'selfies' of our Nation.*
- *Less than \$6 per American PER YEAR would place SIXTEEN Landsats into orbit, giving us DAILY Selfies of the entire Nation.*

***Can you believe what that would be like? A Landsat 'Selfie' EVERY DAY???***

*\$800 million/LS*

*÷ 8 years*

*x 16 LS*

*÷ 300 million Americans*

*= \$5.30 per American per year*

*Not having daily Landsat coverage seems to be very economically damaging to the United States*



# Thank you.